#### LOGICS OF AGENCY CHAPTER 4: APPLICATIONS OF AGENCY TO SOCIAL INFLUENCE AND OBLIGATIONS

Nicolas Troquard

ESSLLI 2016 - Bolzano

## OVERVIEW OF THIS CHAPTER

- Social influence
- Horty's obligation to do

#### **DEFINITION (IMPERATIVE CONTENT THESIS)**

Regardless of its force on an occasion of use, the content of every imperative is agentive.

#### DEFINITION (RESTRICTED COMPLEMENT THESIS)

A variety of constructions concerned with agents and agency—including deontic statements, imperatives, and statements of intentions, among others—must take agentives as their complements.

#### **DEFINITION (STIT NORMAL FORM THESIS)**

In investigations of those constructions that take agentives as complements, nothing but confusion is lost if the complements are taken to be all and only stit sentences. Obligations to do

*O*<sub>John</sub> *¬*<sub>John</sub> "dinner is ready" Delegations / Imperatives:

*∇<sub>Mary</sub>O<sub>John</sub>∇<sub>John</sub>*"dinner is ready"

Constraining a behaviour:

*∇<sub>Mary</sub>∇<sub>John</sub>"dinner* is ready"

Deliberate inaction:

 $\bigtriangledown_{Mary} \neg \bigtriangledown_{Mary}$  "Mary eats chocolate"

# OUTLINE



#### **2 STIT** AND DEONTIC LOGIC

#### TALKING ABOUT DELEGATION

A common theme: [Chellas 1969], [Santos et al. 1996, 1997], [Norman & Reed 2010]...

# NEIGHBOURHOODS SEMANTICS OF INDIVIDUAL BRINGING-IT-ABOUT (REMINDER)

 $\textit{M} = \langle\textit{W},\textit{EE},\textit{EC},\textit{V}\rangle$ 

- *W* is some set of possible worlds,
- V : Prop  $\longrightarrow \mathcal{P}(W)$  is a valuation function

$$\blacksquare EE: W \times Agt \longrightarrow \mathcal{P}(\mathcal{P}(W))$$

 $\blacksquare EC: W \times Agt \longrightarrow \mathcal{P}(\mathcal{P}(W))$ 

Constraints on the neighborhoud functions:

$$W \notin EE(w, i)$$

$$\emptyset \notin EC(w, i)$$

$$if X \in EE(w, i), \text{ then } w \in X$$

$$if X \in EE(w, i) \text{ and } Y \in EE(w, i) \text{ then } X \cap Y \in EE(w, i)$$

$$EE(w, i) \subseteq EC(w, i)$$

Truth values:

$$\begin{array}{ll} M, w \models p & \text{iff} & w \in V(p); \\ M, w \models E_i \varphi & \text{iff} & ||\varphi||^M \in EE(w, i); \\ M, w \models C_i \varphi & \text{iff} & ||\varphi||^M \in EC(w, i). \end{array}$$

NEIGHBOURHOODS SEMANTICS OF COALITIONAL BRINGING-IT-ABOUT

 $\textit{M} = \langle\textit{W},\textit{EE},\textit{EC},\textit{V}\rangle$ 

■ *W* is some set of possible worlds,

■ V : Prop  $\longrightarrow \mathcal{P}(W)$  is a valuation function

$$\mathbf{EE}: \mathbf{W} \times \mathcal{P}(\mathsf{Agt}) \longrightarrow \mathcal{P}(\mathcal{P}(\mathbf{W}))$$

$$\mathbf{EC}: \mathbf{W} \times \mathcal{P}(\mathsf{Agt}) \longrightarrow \mathcal{P}(\mathcal{P}(\mathbf{W}))$$

Constraints on the neighborhoud functions:

analogous to the individual case

$$\blacksquare EC(w, \emptyset) = \emptyset$$

• 
$$X \in EE(w, G_1)$$
 and  $Y \in EE(w, G_2)$  then  $X \cap Y \in EC(w, G_1 \cup G_2)$ 

Truth values:

$$\begin{array}{ll} M,w\models p & \text{iff} \quad w\in V(p); \\ M,w\models E_G\varphi & \text{iff} \quad ||\varphi||^M\in EE(w,G); \\ M,w\models C_G\varphi & \text{iff} \quad ||\varphi||^M\in EC(w,G). \end{array}$$

# PRINCIPLES OF COALITIONAL "BRINGING IT ABOUT"

For all groups G,  $G_1$ , and  $G_2$  and formulas  $\varphi$  and  $\psi$ :

- **[Ax0]**  $\vdash \varphi$  , when  $\varphi$  is a tautology in propositional logic
- $\blacksquare [Ax1] \vdash E_G \varphi \land E_G \psi \to E_G(\varphi \land \psi)$
- $\blacksquare [Ax2] \vdash E_G \varphi \rightarrow \varphi$
- **[Ax3]**  $\vdash E_G \varphi \rightarrow C_G \varphi$
- [Ax4] ⊢ ¬*C*<sub>G</sub>⊥
- [Ax5] ⊢ ¬*C*<sub>G</sub>⊤
- **[Ax6]** ⊢ ¬*C*<sub>∅</sub>*φ*
- $\blacksquare \textbf{[Ax7]} \vdash E_{G_1} \varphi \land E_{G_2} \psi \to C_{G_1 \cup G_2} (\varphi \land \psi)$
- **[ERE]** if  $\vdash \varphi \leftrightarrow \psi$  then  $\vdash E_G \varphi \leftrightarrow E_G \psi$
- **[ERC]** if  $\vdash \varphi \leftrightarrow \psi$  then  $\vdash C_G \varphi \leftrightarrow C_G \psi$

#### STRICT JOINT AGENCY

- It seems right that if G<sub>1</sub> acting as a coalition is bringing about a goal φ, then all the members of G<sub>1</sub> are actually contributing in some way to φ. (e.g., [Lindahl 1977])
- They might be necessary for the performance of a bodily movement, or they might be necessary for the group attitude that is put into the goal X.
- We use the term team to capture this.
- The members of the team G<sub>1</sub>, each with a group attitude towards the coalition G<sub>1</sub> with regard to φ, when G<sub>2</sub> ⊂ G<sub>1</sub> the group G<sub>2</sub> cannot be agentive for φ. At least the group G<sub>2</sub> is not bringing about the goal φ as a team.

$$[\mathbf{Ax8}] \vdash E_{G_1} \varphi \rightarrow \neg E_{G_2} \varphi, \text{ when } G_2 \subset G_1$$

The contrapositive of the constraint is the notion of strict joint agency ([Belnap et al. 2001], [Carmo 2010]).

#### Making do / delegation ([Chellas 1969], and many others):

 $E_a E_b \varphi$ 

#### **Responsibility of the delegator**

- [Chellas 1969]: "quid facit per alium facit per se". When agent a makes another agent bring about something, agent a is himself bringing about that something.
- Elgesem rejects the constraint: "a person is normally not considered the agent of some consequence of his action if another agent interferes in the causal chain." [Elgesem 1993, p. 82].
- [Santos et al. 1997] propose two notions of agency:
  - If agent a directly brings about that b directly brings about that φ then a does not directly bring about the φ.
  - But they adopt the principle for indirect actions.

#### Impossible intra-team commands (I)

- $\blacksquare Ax8, Ax9 \vdash E_a \varphi \rightarrow \neg E_{\{a,b\}} \varphi$
- **3**  $Ax8, Ax9 \vdash E_a E_b \varphi \rightarrow \neg E_{\{a,b\}} \varphi$ Propositional Logic)
- (instance of axiom Ax8) (instance of axiom Ax9) (from 1. and 2. by
- **4**  $Ax8, Ax9 \vdash E_a E_b \varphi \rightarrow E_b \varphi$  (instance of axiom Ax2) **5**  $Ax8, Ax9 \vdash E_a E_b \varphi \rightarrow E_a \varphi \land E_b \varphi$  (from 2. and 4. by PL) **6**  $Ax8, Ax9 \vdash E_a \varphi \land E_b \varphi \rightarrow C_{\{a,b\}} \varphi$  (instance of axiom Ax7) **7**  $Ax8, Ax9 \vdash E_a E_b \varphi \rightarrow = E_{(a,b)} \varphi$  (instance of axiom Ax7)
- $Ax8, Ax9 \vdash E_a E_b \varphi \rightarrow \neg E_{\{a,b\}} \varphi \land C_{\{a,b\}} \varphi$  (from 3., 5. and 6. by PL)

#### IMPOSSIBLE INTRA-TEAM COMMANDS (II)

 $\begin{array}{ll} Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax9}) \\ \hline ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_b\varphi & (\text{instance of axiom Ax2}) \\ \hline Ax8, Ax9 \vdash E_{b}\varphi \rightarrow \neg E_{\{a,b\}}\varphi & (\text{instance of axiom Ax2}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow \nabla E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi \rightarrow E_{\{a,b\}}\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_{\{a,b\}}E_b\varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax8 \vdash E_{\{a,b\}}E$ 

$$S Ax8, Ax9 \vdash \neg E_{\{a,b\}}E_b\varphi$$

 $\begin{array}{ll} Ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax2}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_b \varphi & (\text{instance of axiom Ax9}) \\ \hline ax8, Ax9 \vdash E_b \varphi \rightarrow \neg E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline Ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi \rightarrow E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_b E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax9 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax8 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax8 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax8 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8, Ax8 \vdash E_{\{a,b\}} \varphi & (\text{instance of axiom Ax8}) \\ \hline ax8 \vdash E_{\{a,b\}} \varphi & (\text{$ 

5 
$$Ax8, Ax9 \vdash \neg E_b E_{\{a,b\}} \varphi$$

(from 4. by PL)

$$[\mathbf{Ax10}] \vdash E_{G_1}E_{G_2}\varphi \rightarrow E_{G_1\cup G_2}\varphi$$

I  $Ax10 \vdash E_a E_b \varphi \rightarrow E_b \varphi$ (instance of axiom Ax2)2  $Ax10 \vdash E_a E_b \varphi \rightarrow E_{\{a,b\}} \varphi$ (instance of axiom Ax10)3  $Ax10 \vdash E_a E_b \varphi \rightarrow E_b \varphi \wedge E_{\{a,b\}} \varphi$ (from 1., and 2. by PL)

Funny interaction with strict joint agency (suppose  $G_2 \neq \emptyset$ ):

- $Ax10, Ax8 \vdash E_{G_1}E_{G_2}\varphi \rightarrow E_{G_1 \cup G_2}\varphi$  (instance of axiom Ax10)
- **2**  $Ax10, Ax8 \vdash E_{G_1}E_{G_2}\varphi \rightarrow E_{G_2}\varphi$  (instance of axiom Ax2)
- **3**  $Ax10, Ax8 \vdash E_{G_2} \varphi \rightarrow \neg E_{G_1 \cup G_2} \varphi$  (instance of axiom Ax8)
- $Ax10, Ax8 \vdash \neg E_{G_1}E_{G_2}\varphi$  (from 1., 2., and 3. by PL)

DELEGATIONS: COMMANDS AS IMPOSING NORMS

General pattern:

$$E_a O E_b \varphi$$

Delegations within institutions

[Santos and Jones, Carmo and Pacheco, Sartor, ...]

agency of an individual agent:

 $E_a \varphi \Rightarrow$  "agent *a* is agentive for  $\varphi$ "

agency of an agent in a role:

 $E_{a:r}\varphi \Rightarrow$  "agent *a* playing the role *r* is (ex-post acto) responsible  $\varphi$ "

obligations of an agent in a role:

 $OE_{a:r}\varphi \Rightarrow$  "agent *a* playing the role *r* ought to achieve  $\varphi$ "

institutional delegation:

 $E_{a:boss}OE_{b:emp}\varphi \Rightarrow$  "Boss *a* delegates  $\varphi$  to employee *b*"

# Social influence in Hamblin's style logics

In [Norman & Reed 2010], actions, and doings. E.g.:

- E<sub>Joe</sub>T<sub>Avrel</sub> α<sub>shoot</sub>
- $\blacksquare E_{Joe} E_{Avrel} \mathsf{T}_{Avrel} \alpha_{\text{strangle}}.$

# SOCIAL INFLUENCE IN STIT

"Making do" generally falls flat in STIT theories:

- Chellas' stit: John makes Ana do φ only if φ is settled (independence of agents)
- Achievement and deliberative stit: delegation is impossible (negative condition)
- It can be amended nicely in a deontic context (next section of these slides)

Refraining from doing  $\varphi$ :

 $[i dstit: \neg [i dstit: \varphi]]$ 

REFREF principle [Belnap et al. 2001]:

 $[i dstit: \neg [i dstit: [i dstit: \neg [i dstit: \varphi]]]] \leftrightarrow [i dstit: \varphi]$ 

REFREF does not work in astit in general.

## OUTLINE

#### **1** SOCIAL INFLUENCE

#### **2** STIT AND DEONTIC LOGIC

Horty's deontic logic for representing and reasoning about what agents ought to do (and ought to be the case).

# *BT* STRUCTURES (REMINDER) *BT* structure (*Mom*, <):



- History = maximally <-ordered set of moments</p>
- Hist = set of all histories
- $H_m$  = set of histories passing through the moment m
- Explode moments into indexes (moment/history pairs)

$$m_0/h_3 \not\models \mathbf{F}p$$

# BT + AC models (reminder)

A BT + AC model is a tuple  $\mathcal{M} = \langle Mom, <, Choice, v \rangle$ , where:

- $\langle Mom, < \rangle$  is a *BT* structure;
- Choice : Agt  $\times$  Mom  $\rightarrow \mathcal{P}(\mathcal{P}(Hist))$ ;
  - Choice : Agt  $\times$  Mom  $\rightarrow \mathcal{P}(\mathcal{P}(Hist))$ 
    - Choice(a, m) = repertoire of choices for agent a at moment m
    - Choice is a function mapping each agent and each moment m into a partition of H<sub>m</sub>
  - Choice(a, m) : Hist  $\rightarrow \mathcal{P}(Hist)$ 
    - For  $h \in H_m$ : Choice(a, m)(h) = the particular choice of a at index m/h.
  - Independence of agents/choices: Let *h*, *m*. For all collections of  $X_a \in Choice(a, m)(h)$ ,  $\bigcap_{a \in Aat} X_a \neq \emptyset$ .
  - No choice between undivided histories: if  $\exists m' > m$  s.t.  $h, h' \in H_{m'}$  then  $h' \in Choice(a, m)(h)$ .n
- *v* is valuation function  $v : \operatorname{Prop} \to \mathcal{P}(\operatorname{Mom} \times \operatorname{Hist})$ .

## LANGUAGE

- "Chellas" stit:  $M, m/h \models [G cstit: \varphi]$  iff  $M, m/h' \models \varphi$  for all  $h' \in Choice(G, m)(h)$
- historical necessity:  $M, m/h \models \Box \varphi$  iff  $M, m/h' \models \varphi$  for all  $h' \in H_m$

Linear time modalities:

- F future
- P past

# STANDARD DEONTIC MODELS [HORTY 2001]

A standard deontic models is a tuple  $\mathcal{M} = \langle Mom, <, Choice, Ought, v \rangle$ , where:

- $\langle Mom, <, Choice, v \rangle$  is a BT + AC model;
- *Ought* maps each moment  $m \in Mom$  to a set *Ought*<sub>m</sub> ⊆ *H*<sub>m</sub>.

The set  $Ought_m$  contains the ideal histories passing through m

$$m/h \models \bigcirc \varphi \iff \forall h' \in Ought_m : m/h' \models \varphi$$

Standard deontic models sometimes considered too crude: classification as ideal or nonideal.

Instead of ideal (0) / nonideal (1): each  $h \in H_m$  is given a value representing the worth or desirability of *h* at *m*.

The set of values *val* can be arbitrary (real numbers, color codes, ...) but must be at least partially ordered by  $\leq$ .

#### GENERAL DEONTIC STIT MODELS

A general deontic models is a tuple

 $\mathcal{M} = \langle \textit{Mom}, <, \textit{Choice}, \textit{Value}, \textit{v} \rangle$ , where:

- $\langle Mom, <, Choice, v \rangle$  is a BT + AC model;
- *Value* maps each moment  $m \in Mom$  to a function *Value<sub>m</sub>* :  $H_m \longrightarrow val$ .

Let  $m \in Mom$  and  $h, h' \in H_m$ .  $Value_m(h) \leq Value_m(h')$  means that h' is at least as desirable as h at m.

Let 
$$\mathcal{M} = \langle Mom, <, Choice, Value, v \rangle$$
.  
 $\mathcal{M}, m/h \models \bigcirc \varphi \iff \exists h' \in H_m$ :  

$$\begin{cases} (1) \quad \mathcal{M}, m/h' \models \varphi \\ (2) \quad \forall h'' \in H_m : \text{ if } Value_m(h') \leq Value_m(h'') \text{ then } \mathcal{M}, m/h'' \models \varphi \end{cases}$$

 $(\varphi \text{ is true for some history, and }\varphi \text{ is true for all histories at least as desirable.})$ 

Utilitarian deontic models are obtained by adding two constraints.

Constraint 1:  $val = \mathbb{R}$ , and  $\leq$  is the standard order over  $\mathbb{R}$ 

Constraint 2:  $Value_m(h) = Value_{m'}(h)$ 

(We can omit the subscript.)

# "REPARATIONAL" OUGHTS

Obligations rising from violations of previous obligations. Example ([Thomason 1984], [Horty 2001]):

- Suppose it ought to be the case at the moment m<sub>1</sub> that a will soon board a plane to visit his aunt.
- At the moment  $m_1$ , three histories unfold.
- In  $h_1$ , he boards the plane.
- In h<sub>2</sub>, a does not board the plane and calls his aunt to tell her that he will not be visiting.
- In h<sub>3</sub>, a does not board the plane and does not call his aunt to tell her that he will not be visiting.
- The letter A stands for the proposition that the agent will board the plane; the
- The letter B stands for the proposition that the agent will call his aunt to say that he is not coming.

#### IDEAL OUGHTS



■ *Ought*<sub>m1</sub> = {*h*<sub>1</sub>} ■ *Ought*<sub>m2</sub> = {*h*<sub>2</sub>} ■  $m_1/\_\models \bigcirc A \land \neg \bigcirc B$ ■  $m_2/\_\models \bigcirc B$ 

#### UTILITARIAN OUGHTS



(Picture from [Horty 2001])

Value<sub>m1</sub>(h<sub>1</sub>) = 10
Value<sub>m1</sub>(h<sub>2</sub>) = Value<sub>m2</sub>(h<sub>2</sub>) = 4
Value<sub>m1</sub>(h<sub>3</sub>) = Value<sub>m2</sub>(h<sub>3</sub>) = 0
m<sub>1</sub>/\_  $\models \bigcirc A \land \neg \bigcirc B$ m<sub>2</sub>/\_  $\models \bigcirc B$ 

Originally Meinong, Nicolai Hartmann, ... but also Anderson, Kanger, ...

Roderick Chisholm suggests:

"S ought to bring it about that p" can be defined as "It ought to be that S brings it about that p." [Chisholm 1964, p. 150]

Agent *a* ought to see to it that  $\varphi$ :

 $\bigcirc$ [*a cstit* :  $\varphi$ ]



■ ○*A* ■ ¬ ○ [*a cstit* : *A*]

# LOGICAL PRINCIPLES OF UTILITARIAN DEONTIC MODELS

- O is a normal modal operator
- $\blacksquare \bigcirc \varphi \to \Diamond \varphi$
- $\blacksquare \bigcirc \varphi \to \Box \bigcirc \varphi$
- $= \neg (\bigcirc [a \, cstit : \varphi] \land \bigcirc [b \, cstit : \neg \varphi])$
- $\blacksquare \bigcirc [\mathit{acstit}:\varphi] \rightarrow \bigcirc \varphi$ 
  - Remark:  $\bigcirc \varphi \rightarrow \bigcirc [a \operatorname{cstit}: \varphi]$  is not valid
  - Remark: ○φ ∧ ◊[a cstit: φ] → ○[a cstit: φ] is not valid either! (next two slides)

- Karen, wishes to buy a horse, but she has only \$10,000 to spend and the horse she wants is selling for \$15,000;
- We imagine that Karen offers \$10,000 for the horse at the moment *m* (choice K<sub>1</sub>);
- It is up to the owner of the horse to decide whether to accept the offer. The history h<sub>1</sub> represents a scenario in which the owner accepts Karen's offer, h<sub>2</sub> a scenario in which the offer is rejected;
- A is the statement that Karen will become less wealthy by the amount of \$10,000;
- The unique best history is h<sub>1</sub>, in which the offer is accepted, and, as a consequence, Karen buys the horse and becomes less wealthy by \$10,000;
- Since Karen is less wealthy by \$10,000 in the unique best history, we must conclude that it ought to be that she is less wealthy by \$10,000;
- Of course, Karen also has the ability to see to it that she is less wealthy by \$10,000, (choice K<sub>2</sub>);
- But we would not wish to conclude that Karen ought to see to it that she is less wealthy by \$10,000.



○A
 ◇[a cstit : A]
 ¬○ [a cstit : A]

# CRITICISM OF THE UTILITARIAN DEONTIC MODEL

Is  $\bigcirc$  [*a cstit* : *A*] actually adequate to formalize that an agent *a* ought to see to it that *A*?

- ... it is entirely utilitarian.
- Horty: a statement that a ought to see to it that A often seems to be sensitive also to nonutilitarian considerations.

Is  $\bigcirc [a cstit: A]$  at least adequate to formalize the utilitarian notion of an agent *a* ought to see to it that *A*?

- An agent *a* is faced with two options at the moment *m*: to gamble the sum of five dollars  $(K_1)$ , or to refrain from gambling  $(K_2)$ .
- If a gambles, there is a history in which he wins ten dollars, and another in which he loses his stake;
- If *a* does not gamble, he preserves his original stake;
- the utility associated with each history at *m* is entirely determined by the sum of money that *a* possesses at the end;
- The letter A stands for the proposition that a gambles;
- $\bigcirc$  [*a cstit* : *A*] holds at *m*.



If we change the utilities:



Then  $\neg \bigcirc [a \operatorname{cstit}: A]$ . Good. But we should expect that it is wise not to gamble here. However,  $\neg \bigcirc [a \operatorname{cstit}: \neg A]$ .

#### SO, WITH UTILITARIAN DEONTIC MODELS...

The model prescribes risk seeking obligations to the agents. The models do not prescribe "bad" obligations, but they also miss some seemingly reasonable obligations. A proposition at the moment *m* is a subset  $X \subseteq H_m$ .

<u>Definition</u> (weak preference over propositions):  $X \le Y$  iff  $\forall h \in X, \forall h' \in Y : Value_m(h) \le Value_m(h')$ .

A new "fused" deontic stit operator with ordered choices would be:

 $m/h \models \bigoplus [a \ cstit : A] \text{ iff } \exists K \in Choice(a, m) \text{ such that (1)}$  $\{m\} \times K \subseteq ||A|| \text{ and (2) } \forall K' \in Choice(a, m) : K' \leq K.$ 

#### GAMBLING AGAIN



#### $\neg \bigoplus [a cstit: A] \land \neg \bigoplus [a cstit: \neg A]$



 $\bigoplus$ [*a cstit* :  $\neg$ *A*]

#### FURTHER PROBLEM WITH MULTIAGENCY



 $K_2$  seems preferable, but it is not the case that  $K_1 \leq K_2$ .

We define:

$$State(a, m) = Choice(Agt \setminus \{a\}, m)$$

The "strategic contexts" agent *a* might face.

When there are two players (e.g., on the previous example):

$$State(a, m) = Choice(b, m)$$

and

$$State(b, m) = Choice(a, m)$$

<u>Definition</u> (weak choice dominance): Let  $K, K' \in Choice(a, m)$ . *K*  $\leq_a K'$  iff *K* ∩ *S*  $\leq$  *K'* ∩ *S* for every *S*  $\in$  *States*(*a*, *m*)

On the previous example:  $K_1 \prec_a K_2$ .

 $Optimal(a, m) = \{K \in Choice(a, m) \mid \exists K' \in Choice(a, m), K \prec_a K'\}$ 

When there is a finite number of choices, this works well:  $m/h \models \bigcirc [a \operatorname{cstit}: A] \text{ iff } \{m\} \times K \subseteq ||A|| \text{ for every}$  $K \in Optimal(a, m)$ 

# FURTHER PROBLEM WITH INFINITE REPERTOIRES OF CHOICES



(Picture from [Horty 2001])

We'd like to have  $\bigcirc [a \operatorname{cstit} : A]$  and  $\neg \bigcirc [a \operatorname{cstit} : \neg A]$ . But  $\operatorname{Optimal}(a, m) = \emptyset$ ...  $m/h \models \bigcirc [a \ cstit: A]$  iff for every  $K \in Choice(a, m)$ , if  $\{m\} \times K \not\subseteq ||A||$ , then there is  $K' \in Choice(a, m)$  such that: (1)  $K \prec_a K'$ , and (2)  $\{m\} \times K' \subseteq ||A||$ , and

(3)  $\{m\} \times K'' \subseteq ||A||$  for each  $K'' \in Choice(a, m)$  such that  $K' \preceq_a K''$ .

This is obligation to do.

#### SOCIAL INFLUENCE: COMMANDS

What about?

 $[a cstit: \bigcirc [b cstit: \varphi]]$ 

 $[a cstit: \bigcirc F[b cstit: \varphi]]$ 

 $[a cstit: F \bigcirc [b cstit: \varphi]]$ 

 $[a cstit: \odot[b cstit: \varphi]]$ 

 $[a \, cstit : \bigcirc F[b \, cstit : \varphi]]$ 

 $[a cstit: F \odot [b cstit: \varphi]]$ 

#### SOCIAL INFLUENCE: COMMANDS

#### Well:

- [ $a cstit: \bigcirc [b cstit: \varphi]$ ] is just  $\bigcirc [b cstit: \varphi]$
- [ $a cstit: \bigcirc F[b cstit: \varphi]$ ] is just  $\bigcirc F[b cstit: \varphi]$
- [*a cstit*: *F* ⊖ [*b cstit*: *φ*]] is good
- $\blacksquare [a cstit: \bigcirc [b cstit: \varphi]] is just \bigcirc [b cstit: \varphi]$
- [a cstit:  $\bigcirc F[b cstit: \varphi]$ ] is not in our language!
- [*a cstit*: *F* ⊙[*b cstit*: *φ*]] is good

Restricted complement thesis  $\Rightarrow$  deontic statements must take agentives as their complements.

Some arguments against the simple logic for  $\bigcirc$ .

It worked with  $\bigcirc$  [*a cstit*:  $\varphi$ ], but it is a fused operator, not exactly an ought with an agentive in its scope. Nonetheless, it shows that the models are amenable.

# **R**EFERENCES I



Nuel Belnap, Michael Perloff, and Ming Xu.

Facing the Future (Agents and Choices in Our Indeterminist World).

Oxford University Press, 2001.



Brian Chellas.

The Logical Form of Imperatives. Perry Lane Press, 1969.



J. Carmo and O. Pacheco.

Deontic and action logics for organized collective agency modeled through institutionalized agents and roles. *Fund. Inform.*, 48:129–163, 2001.



J. F. Horty.

#### Agency and Deontic Logic.

Oxford University Press, Oxford, 2001.



Timothy J. Norman and Chris Reed.

#### A logic of delegation.

Artif. Intell., 174:51-71, 2010.



F. Santos and J. Carmo.

#### Indirect Action, Influence and Responsibility.

In Proceedings of DEON'96, pages 194-215. Springer-Verlag, 1996.



F. Santos, A. Jones, and J. Carmo.

#### Responsibility for Action in Organisations: a Formal Model.

In G. Holmström-Hintikka and R. Tuomela, editors, *Contemporary Action Theory*, volume 1, pages 333–348. Kluwer, 1997.