

ESSLLI Tutorial: Nonmonotonic Logic

Default Logic

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Aims of this session

- learn about the basic ideas behind Reiter's Default Logic
- learn about some of its shortcomings
- ... and variants inspired by them

Default Logic - Basic Concepts

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Warming up

Some References to Classical Articles

- A logic for default reasoning. *Artificial Intelligence*, 1-2(13). Reiter (1980)
- A logical framework for default reasoning. *Artificial intelligence*, 36(1), 27-47. Poole (1988)
- The effect of knowledge on belief: conditioning, specificity and the lottery paradox in default reasoning. *Artificial Intelligence*, 49(1-3), 281-307. Poole (1991)
- Considerations on default logic: an alternative approach. *Computational intelligence*, 4(1), 1-16. Łukaszewicz (1988)
- Bridges from classical to nonmonotonic logic, chapter 4. Makinson (2005)

Short Reminder: 1st order logic

Logical symbols

- quantifiers \forall, \exists
- logical connectives $\wedge, \vee, \supset, \neg$
- brackets
- variables

non-logical symbols

- predicate / relation symbols with specific arity
- function symbols with specific arity
- constants (0-ary functions)

Short Reminder: 1st order logic, special terminology

- **terms**: variables, $f(t_1, \dots, t_n)$ where t_i are terms
- **atomic formula**: $P(t_1, \dots, t_n)$
- **formulas**: $\langle \forall, \exists, \wedge, \vee, \supset, \neg \rangle$ closure of atomic formulas
- **free / bound variables**
- **sentence**: formula without free variables
- **instance of a formula φ** : substitution of some free variables for terms
- **ground term**: term without variables
- **ground instance**: instance that is a sentence (obtained by substituting all free variables by ground terms)

Example

bird(Tweety) \supset flies(Tweety)
is a ground instance of
bird(x) \supset flies(x)

Default Logic - Basic Concepts

Defaults and Default Theories

What's a default conditional

prerequisite

$$\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_n(\mathbf{x})}{\gamma(\mathbf{x})}$$

where $\mathbf{x} = x_1, \dots, x_m$, and $\alpha(\mathbf{x}), \beta_1(\mathbf{x}), \dots, \beta_n(\mathbf{x}), \gamma(\mathbf{x})$ are formulas whose free variables are among x_1, \dots, x_m .

What's a default conditional

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Application of a default

The default is applied in order to derive the \mathbf{c} -ground instance of γ in case

- **trigger**: $\alpha(\mathbf{c})$ belongs to our set of beliefs
- **justification**: the set of our beliefs is consistent with each $\beta_i(\mathbf{c})$

$\langle \Delta, \Phi \rangle$

set of defaults

set of 'facts'

$\langle \Delta, \Phi \rangle$

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$\langle \Delta, \Phi \rangle$

set of defaults

simple example

- $\Delta = \left\{ \frac{\text{bird}(x) : \text{flies}(x)}{\text{flies}(x)} \right\}$
- $\Phi = \{\text{bird}(\text{Tweety}), \text{cat}(\text{Sylvester})\}$

Normal defaults

$$\frac{\alpha(\mathbf{x}) : \gamma(\mathbf{x})}{\gamma(\mathbf{x})}$$

Types of defaults

Normal defaults

$$\frac{\alpha(\mathbf{x}) : \gamma(\mathbf{x})}{\gamma(\mathbf{x})}$$

Semi-Normal defaults

$$\frac{\alpha(\mathbf{x}) : \beta(\mathbf{x})}{\gamma(\mathbf{x})}$$

where $\beta(\mathbf{x}) \vdash \gamma(\mathbf{x})$. E.g.,

$$\frac{\alpha(\mathbf{x}) : \gamma(\mathbf{x}) \wedge \beta(\mathbf{x})}{\gamma(\mathbf{x})}$$

How to Reason with Default Theories

How to Reason with Default Theories

Determining Extensions

Idea: Apply iteratively modus ponens to defaults. This way build step-wise an **extension** (sets of beliefs that are obtained in this way)

Here's how it goes for a default theory $\langle \Delta, \Phi \rangle$

- **guess** the extension Ξ

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$$\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_n(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta$$

and check whether:

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is used!

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 - if $\Xi = \text{Cn}(\Xi^*)$: extension found .

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Problem *Quasi-Induction* – End-regulated procedure: We have to guess and use our guess when adding new defaults.

Example: Tweety

Let $T = \langle \Delta, \Phi \rangle$ where

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Building up the extensions:

- guess: $\Xi = \text{Cn}(\{\text{flies}(\text{Tweety})\} \cup \Phi)$

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- note that the Sylvester-instance of our default is not applicable to Φ since $\Phi \not\models \text{bird}(\text{Sylvester})$
- however, we have $\text{bird}(\text{Tweety})$ and $\text{flies}(\text{Tweety})$ is consistent with Ξ .
- fixed point reached
- the only extension is Ξ .

Compact representation

Given a default theory $\langle \Delta, \Phi \rangle$, Ξ is an extension iff

$\Xi = \text{Cn}(\bigcup_{i=1}^{\infty} \Xi_i)$ where

1. $\Xi_0 = \Phi$

2. $\Xi_{i+1} = \Xi_i \cup \left\{ \gamma(\mathbf{c}) \mid \frac{\alpha(x) : \beta_1(x), \dots, \beta_n(x)}{\gamma(x)} \in \Delta, \Xi_i \vdash \alpha(\mathbf{c}), \neg\beta_1(\mathbf{c}), \dots, \neg\beta_n(\mathbf{c}) \notin \Xi_i \right\}$

How to Reason with Default Theories

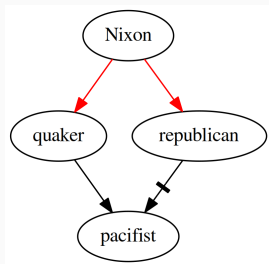
Extensions and their existence

Let's see: we could define that A is a **consequence** of the default theory $\langle \Delta, \Phi \rangle$ iff A is in "its extension".

The Nixon Diamond

Let $T = \langle \Delta, \Phi \rangle$ where

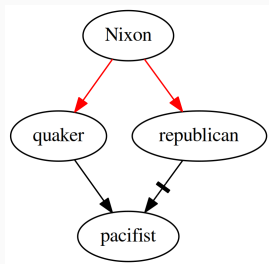
- $\Delta =$
$$\left\{ \frac{\text{quaker}(x) : \text{pacifist}(x)}{\text{pacifist}(x)}, \frac{\text{republican}(x) : \neg \text{pacifist}(x)}{\neg \text{pacifist}(x)} \right\}$$
- $\Phi =$
 $\{\text{quaker}(\text{Nixon}), \text{republican}(\text{Nixon})\}.$



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There are **two extensions**:

1. one that contains $\text{pacifist}(\text{Nixon})$,
2. and one that contains $\neg\text{pacifist}(\text{Nixon})$.

... and, do they always exist?

Another example

Let $\langle \Delta, \Phi \rangle$ be a default theory where

- $\Delta = \left\{ \frac{\alpha(x) : \beta(x)}{\gamma(x)}, \frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)} \right\}$
- $\Phi = \{\alpha(c)\}$

Guess: $\text{Cn}(\{\alpha(c), \gamma(c)\})$

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- first run:
- $\Phi^* = \Phi$
- $\frac{\alpha(x) : \beta(x)}{\gamma(x)}$ is triggered and justified: apply

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- $\Phi^* = \Phi \cup \{\gamma(c)\}$

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 - $\Phi^* = \Phi \cup \{\gamma(\mathbf{c})\}$
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 - $\frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)}$ is triggered and justified
 - $\Phi^* = \Phi \cup \{\gamma(\mathbf{c}), \neg\beta(\mathbf{c})\}$
 - our guess is wrong (similar problems with other guesses)

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- how to build extensions (naturally) in order to explicate actual default reasoning?

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- are some extensions preferable to others?
- should some extensions be filtered out?
- how to build extensions (naturally) in order to explicate actual default reasoning?
- should floating conclusions be accepted?

How to define the consequences of a default theory?

Two approaches:

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Skeptical approach

$\langle \Delta, \Phi \rangle \vdash_{skp} A$ iff $A \in \bigcap \text{Extensions}(\langle \Delta, \Phi \rangle)$

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Question:

When is which approach useful?

Alternative Approaches to Reiter's

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Makinson's approach

- first: order ground instances of defaults in Δ : d_1, d_2, \dots
- **init beliefs**: $\Xi_0 = \Phi$ and **init used defaults** $\Delta_0 = \emptyset$
- in the $n+1$ th step proceed as follows:
 - **if** there is a **c**-ground instance of default $\frac{\alpha(\mathbf{c}) : \beta_1(\mathbf{c}), \dots, \beta_m(\mathbf{c})}{\gamma(\mathbf{c})} \notin \Delta_n$ such that
 1. $\Xi_n \vdash \alpha(\mathbf{c})$ (**it is triggered**) and
 2. Ξ_n is consistent with $\beta_1(\mathbf{c}), \dots, \beta_m(\mathbf{c})$**then** take the next such one in the list, d , and
 - **if** $\Xi_n \cup \{\gamma(\mathbf{c})\}$ is consistent with each justification in $\Delta_n \cup \{d\}$ then let $\Xi_{n+1} = \Xi_n \cup \{\gamma(\mathbf{c})\}$ and $\Delta_{n+1} = \Delta_n \cup \{d\}$
 - **else**, abort: no extension with this ordering of defaults
 - **else** let $\Xi_{n+1} = \Xi_n$ and $\Delta_{n+1} = \Delta_n$
- the extension is: $\Xi = \bigcup_{i \geq 0} \Xi_i$

- first: order ground instances of defaults in Δ : d_1, d_2, \dots
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to check
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then take the next such one in the list, d , and

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- **else**, abort: no extension with this ordering of defaults

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1. $\Xi_n \vdash \alpha(\mathbf{c})$ (it is triggered) and
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then take the next such one in the list, d , and

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- **else**, abort: no extension with this ordering of defaults

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- the extension is: $\Xi = \bigcup_{i \geq 0} \Xi_i$

instead of guessing

the order is used

to check consistency

runs may be unsuccessful

- there may be several extensions given different orderings of the ground instances in Δ
- we get the same extensions as in Reiter's approach

Alternative Approaches to Reiter's

Lukaszewicz's account

Another account Łukaszewicz (1988)

Let $\langle \Delta, \Phi \rangle$ be a default theory.

- **init:** $\Phi^* = \Phi$

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Let $\langle \Delta, \Phi \rangle$ be a default theory.

- **init:** $\Phi^* = \Phi$
- **(†)** take a **c**-instance of an arbitrary (unused) default
$$\frac{\alpha(x) : \beta_1(x), \dots, \beta_m(x)}{\gamma(x)} \in \Delta$$
 and check:

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$$\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_m(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta$$
 and check:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)

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- **init:** $\Phi^* = \Phi$
- **(†)** take a **c**-instance of an arbitrary (unused) default $\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_m(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta$ and check:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)
 2. each $\beta_j(\mathbf{c})$ is consistent with Φ^* (**justification 1**)

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Let $\langle \Delta, \Phi \rangle$ be a default theory.

- **init:** $\Phi^* = \Phi$
- (\dagger) take a \mathbf{c} -instance of an arbitrary (unused) default
$$\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_m(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta$$
 and check:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)
 2. each $\beta_j(\mathbf{c})$ is consistent with Φ^* (**justification 1**)
 3. each justification of previously applied defaults and each $\beta_1(\mathbf{c}), \dots, \beta_m(\mathbf{c})$ is consistent with $\Phi^* \cup \{\gamma(\mathbf{c})\}$ (**justification 2**)

no reference
to a guess

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Let $\langle \Delta, \Phi \rangle$ be a default theory.

- **init:** $\Phi^* = \Phi$
- (†) take a **c**-instance of an arbitrary (unused) default $\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_m(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta$ and check:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)
 2. each $\beta_j(\mathbf{c})$ is consistent with Φ^* (**justification 1**)
 3. each justification of previously applied defaults and each $\beta_1(\mathbf{c}), \dots, \beta_m(\mathbf{c})$ is consistent with $\Phi^* \cup \{\gamma(\mathbf{c})\}$ (**justification 2**)
- if yes: $\Phi^* = \Phi^* \cup \{\gamma(\mathbf{c})\}$ and goto (†)

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Let $\langle \Delta, \Phi \rangle$ be a default theory.

- **init:** $\Phi^* = \Phi$
- (†) take a **c**-instance of an arbitrary (unused) default $\frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_m(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta$ and check:
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- if yes: $\Phi^* = \Phi^* \cup \{\gamma(\mathbf{c})\}$ and goto (†)
- if no:
 - if there is another instance of an (unused) default in Δ that wasn't tested, goto (†) and test it

no reference
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Another account Łukaszewicz (1988)

Let $\langle \Delta, \Phi \rangle$ be a default theory.

- **init:** $\Phi^* = \Phi$
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 1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)
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- if yes: $\Phi^* = \Phi^* \cup \{\gamma(\mathbf{c})\}$ and goto (†)
- if no:
 - if there is another instance of an (unused) default in Δ that wasn't tested, goto (†) and test it
 - otherwise: let $\Xi = \text{Cn}(\Phi^*)$, we found an extension.

no reference
to a guess

success warranted

Some properties of the new procedure

- No guess needed.
- real procedural character
- guarantees existence of an extension
- hence: yields sometimes different results from Reiter's account

Some properties of the new procedure

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Question

What happens in the new approach when plugging in the default theory $\langle \Delta, \Phi \rangle$ where

- $\Delta = \left\{ \frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}, \frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)} \right\}$
- $\Phi = \{\alpha(c)\}$

Recall the three conditions

1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)
2. each $\beta_i(\mathbf{c})$ is consistent with Φ^* (justification 1)
3. each justification of previously applied defaults is consistent with $\Phi^* \cup \{\gamma(\mathbf{c})\}$ (justification 2)

- $\Delta = \left\{ \frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}, \frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)} \right\}$
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- we start with $\Phi^* = \Phi$
- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:

Recall the three conditions

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- we start with $\Phi^* = \Phi$
- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK

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- we start with $\Phi^* = \Phi$
- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK

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- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK
 3. there are no previously used defaults, so 3 is OK

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- hence, $\Phi^* = \{\alpha(\mathbf{c}), \gamma(\mathbf{c})\}$.

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- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK
 3. there are no previously used defaults, so 3 is OK
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- we start with $\Phi^* = \Phi$
- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK
 3. there are no previously used defaults, so 3 is OK
- hence, $\Phi^* = \{\alpha(\mathbf{c}), \gamma(\mathbf{c})\}$.
- test the \mathbf{c} -instance of the default $\frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)}$:
 1. $\Phi^* \vdash \gamma(\mathbf{c})$, OK

Recall the three conditions

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- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK
 3. there are no previously used defaults, so 3 is OK
- hence, $\Phi^* = \{\alpha(\mathbf{c}), \gamma(\mathbf{c})\}$.
- test the \mathbf{c} -instance of the default $\frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)}$:
 1. $\Phi^* \vdash \gamma(\mathbf{c})$, OK
 2. $\neg\beta(\mathbf{c})$ is consistent with Φ^* , OK

Recall the three conditions

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- we start with $\Phi^* = \Phi$
- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK
 3. there are no previously used defaults, so 3 is OK
- hence, $\Phi^* = \{\alpha(\mathbf{c}), \gamma(\mathbf{c})\}$.
- test the \mathbf{c} -instance of the default $\frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)}$:
 1. $\Phi^* \vdash \gamma(\mathbf{c})$, OK
 2. $\neg\beta(\mathbf{c})$ is consistent with Φ^* , OK
 3. however $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is not consistent with $\Phi^* \cup \{\neg\beta(\mathbf{c})\}$.

Recall the three conditions

1. $\Phi^* \vdash \alpha(\mathbf{c})$ (trigger)
2. each $\beta_i(\mathbf{c})$ is consistent with Φ^* (justification 1)
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- $\Delta = \left\{ \frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}, \frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)} \right\}$
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- test the \mathbf{c} -instance of the default $\frac{\alpha(x) : \beta(x) \wedge \gamma(x)}{\gamma(x)}$:
 1. $\Phi^* \vdash \alpha(\mathbf{c})$, OK
 2. $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is consistent with Φ^* , OK
 3. there are no previously used defaults, so 3 is OK
- hence, $\Phi^* = \{\alpha(\mathbf{c}), \gamma(\mathbf{c})\}$.
- test the \mathbf{c} -instance of the default $\frac{\gamma(x) : \neg\beta(x)}{\neg\beta(x)}$:
 1. $\Phi^* \vdash \gamma(\mathbf{c})$, OK
 2. $\neg\beta(\mathbf{c})$ is consistent with Φ^* , OK
 3. however $\beta(\mathbf{c}) \wedge \gamma(\mathbf{c})$ is not consistent with $\Phi^* \cup \{\neg\beta(\mathbf{c})\}$.
- $\Xi = \text{Cn}\{\alpha(\mathbf{c}), \gamma(\mathbf{c})\}$

Always having an extension is a good thing, is
it?

Lukaszewicz's Fishing example

Let $T = \langle \Delta, \Phi \rangle$ where

- $\Delta = \left\{ \frac{\text{Sunday} : \text{I-go-fishing} \wedge \neg \text{I-wake-up-late}}{\text{I-go-fishing}}, \frac{\text{Holidays} : \text{I-wake-up-late}}{\text{I-wake-up-late}} \right\}$
- $\Phi = \{\text{Sunday}, \text{Holidays}\}.$

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Reiter

there is only the extension containing
Sunday, Holidays, I-wake-up-late (by first applying the
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Reiter

there is only the extension containing
Sunday, Holidays, I-wake-up-late (by first applying the
second default)

Lukaszewicz

we also(!) have the extension that is the result of first
applying the first default

Lukaszewicz's Fishing example

Let $T = \langle \Delta, \Phi \rangle$ where

- $\Delta = \left\{ \frac{\text{Sunday} : \text{I-go-fishing} \wedge \neg \text{I-wake-up-late}}{\text{I-go-fishing}}, \frac{\text{Holidays} : \text{I-wake-up-late}}{\text{I-wake-up-late}} \right\}$
- $\Phi = \{\text{Sunday}, \text{Holidays}\}.$

Reiter

there is only the extension containing
Sunday, Holidays, I-wake-up-late (by first applying the
second default)

Lukaszewicz

we also(!) have the extension that is the result of first
applying the first default

What do you make of it?

Fixed Points and a bit of Meta-Theory

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A Fixed-Point Characterization

Non-Procedural Fixed-Point Characterizations

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Question

Is this equivalent to the procedural approach?

Take $\langle \{ \frac{\top:p}{p} \}, \emptyset \rangle$.

Note that $\text{Cn}(\{\neg p\})$ is a minimal set satisfying the previous conditions.

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However, the only extension is $C_n(\{p\})$. We face the

Problem of grounding

We expect that all members of the extension can be generated iteratively by chaining and detaching defaults.

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Let $\langle \Delta, \Phi \rangle$ be a default theory. Define the operator π_Φ such that for any set of formulas Γ , $\pi_\Phi(\Gamma)$ the smallest set satisfying:

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this is where Γ matters

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Definition: Extension

A set of formulas Γ is an **extension** of $\langle \Delta, \Phi \rangle$ iff $\pi_\Phi(\Gamma) = \Gamma$.

extensions are fixedpoints of π_Φ

OK, that's awfully complicated. Does this smallest set $\pi_\phi(\Gamma)$ even exist for any Γ ?

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2. Suppose $A \in \text{Cn}(\Gamma')$. Hence (by monotonicity), $\Gamma'' \vdash A$ for all $\Gamma'' \in S$. Since $\text{Cn}(\Gamma'') = \Gamma''$, $A \in \Gamma''$. Thus, $A \in \bigcap S$.

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3. Suppose $\alpha(\mathbf{c}) \in \Gamma'$ and $\neg\beta_i(\mathbf{c}) \notin \Gamma$ for all $i \leq n$. Hence, $\alpha(\mathbf{c}) \in \Gamma''$ for all $\Gamma'' \in S$ and thus $\gamma(\mathbf{c}) \in \Gamma''$. Thus, $\gamma(\mathbf{c}) \in \Gamma'$.

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Equivalence

Recall: Definition of **Extension** of $\langle \Delta, \Phi \rangle$

Ξ is an **extension** iff $\Xi = \text{Cn}(\bigcup_{i=1}^{\infty} \Xi_i)$ where

1. $\Xi_0 = \Phi$
2. $\Xi_{i+1} = \Xi_i \cup \left\{ \gamma(\mathbf{c}) \mid \frac{\alpha(\mathbf{x}) : \beta_1(\mathbf{x}), \dots, \beta_n(\mathbf{x})}{\gamma(\mathbf{x})} \in \Delta, \Xi_i \vdash \alpha(\mathbf{c}), \neg\beta_1(\mathbf{c}), \dots, \neg\beta_n(\mathbf{c}) \notin \Xi \right\}$

We show that Ξ is an extension of $\langle \Delta, \Phi \rangle$ iff $\pi_{\Phi}(\Xi) = \Xi$. We first observe that $\text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$ satisfies:

1. $\Phi \subseteq \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$
2. $\text{Cn}(\text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)) = \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$.
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 - 3.1 $\alpha(\mathbf{c}) \in \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$ (**trigger**)
 - 3.2 $\neg\beta_i(\mathbf{c}) \notin \Xi$ for all $1 \leq i \leq n$ then $\gamma(\mathbf{c}) \in \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$

Equivalence (2)

We show that if $\pi_{\Phi}(\Xi) = \Xi$ then Ξ is an extension (and hence $\Xi = \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$).

- Since (by (\star)) $\pi_{\Phi}(\Xi) \subseteq \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$, $\Xi \subseteq \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$.

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- By 3, $\gamma(\mathbf{c}) \in \pi_{\Phi}(\Xi) = \Xi$.

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- Altogether: $\bigcup_{i=0}^{\infty} \Xi_i \subseteq \Xi$.

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- Thus, $\text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i) \subseteq \Xi$.

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We show that if Ξ is an extension (thus $\Xi = \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$) then $\Xi = \pi_{\Phi}(\Xi)$.

- Since by (\star) , $\pi_{\Phi}(\Xi) \subseteq \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i)$, also $\pi_{\Phi}(\Xi) \subseteq \Xi$.

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- Thus, $\Xi = \text{Cn}(\bigcup_{i=0}^{\infty} \Xi_i) \subseteq \pi_{\Phi}(\Xi)$.

Fixed Points and a bit of Meta-Theory

The “Cautious” Properties

Cautious Cut

If $\langle \Delta, \Phi \rangle \vdash A$ and $\langle \Delta, \Phi \cup \{A\} \rangle \vdash B$ then $\langle \Delta, \Phi \rangle \vdash B$.

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- Hence, $\Xi = \pi_{\Phi \cup \{A\}}(\Xi)$.

Cautious Cut for credulous version?

Recall: Lemma

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Take $\langle \Delta, \Phi \rangle$ where $\Delta = \left\{ \frac{\top:p}{p}, \frac{p \vee q: \neg p}{\neg p} \right\}$.

- $\langle \Delta, \Phi \rangle \vdash_{\text{cred}} p \vee q$.
- $\langle \Delta, \Phi \cup \{p \vee q\} \rangle \vdash_{\text{cred}} \neg p$.
- But, $\langle \Delta, \Phi \rangle \not\vdash_{\text{cred}} \neg p$.

Default logic and monotonicity

Nonmonotonicity, both

- in the set of defaults Δ
- in the set of facts Φ

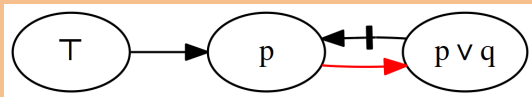
Default logic and monotonicity

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- in the set of facts Φ

Not even cautious monotonic

Here's an example that goes back to Makinson:



- $\Delta = \left\{ \frac{T : p}{p}, \frac{p \vee q : \neg p}{\neg p} \right\}$
- $\Phi_1 = \emptyset$
- $\Phi_2 = \{p \vee q\}$

Fixed Points and a bit of Meta-Theory

Normal Theories are quite special

The special status of normal default theories

A *normal default theory* is a default theory that only consists of normal defaults.

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- A normal default theory always has an extension both in Reiter's and in Lukaszewicz's approach.
- For normal theories the set of Reiter extensions and the set of Lukaszewicz extensions coincides.

But, are normal defaults all we need?

Compare

$$\frac{\text{has-motive}(x) : \text{guilty}(x) \wedge \text{suspect}(x)}{\text{suspect}(x)}$$

with

$$\frac{\text{has-motive}(x) : \text{guilty}(x) \wedge \text{suspect}(x)}{\text{guilty}(x) \wedge \text{suspect}(x)}$$

The expressive power of semi-normal defaults

Lukasiewicz writes: Assume, for instance, that on Sundays I usually go fishing, and suppose that you should remain agnostic about my fishing in rainy Sundays. It seems that the only appropriate representation of this situation is to use the following non-normal default:

$$\frac{\text{Sunday} : \text{I-go-fishing} \wedge \neg\text{rain}}{\text{I-go-fishing}}$$

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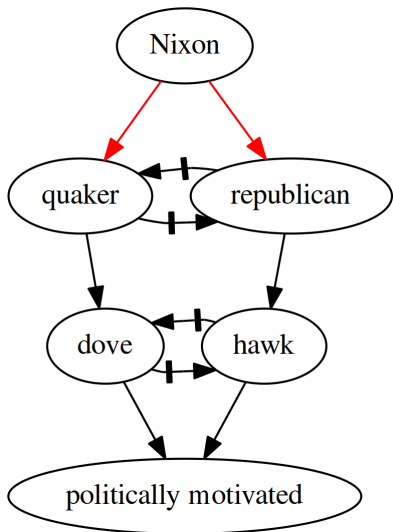
$$\frac{\text{Sunday} : \text{I-go-fishing} \wedge \neg\text{rain}}{\text{I-go-fishing}}$$

Critically evaluated this claim.

1. Why is a normal representation of this default suboptimal?
2. Do you agree with L.'s assessment that the proposed non-normal representation is adequate?

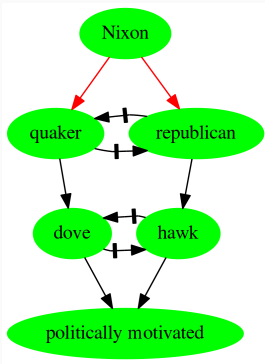
A look at various interesting
examples

Floating conclusions

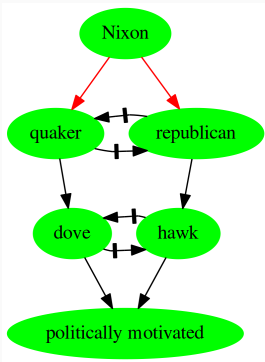


Task

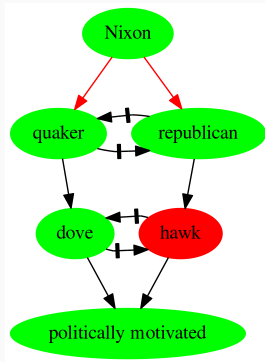
1. What are the extensions of this default theory?
2. Is politically-motivated(Nixon) derivable?



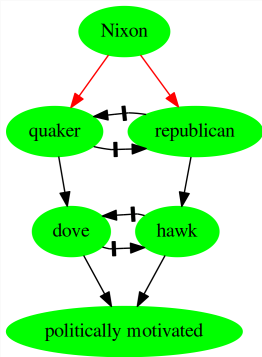
{ Nixon, quaker,
republican, dove,
hawk, politically
motivated }



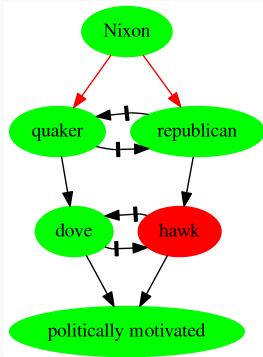
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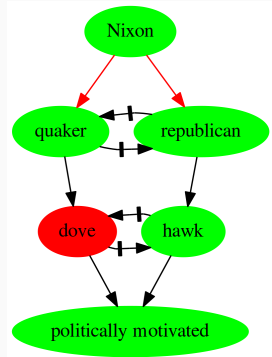
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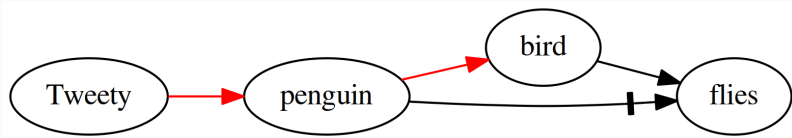


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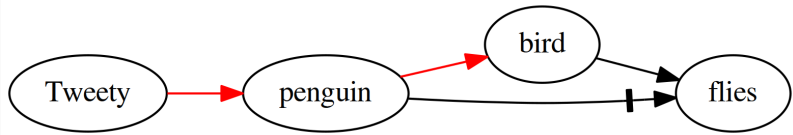
Specificity



Question

Is `flies(Tweety)` derivable?

Specificity



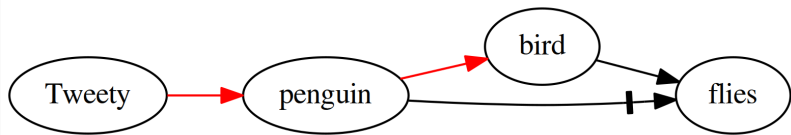
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Nope

There are two extensions:

Specificity



Question

Is $\text{flies}(\text{Tweety})$ derivable?

Nope

There are two extensions:

1. one with $\text{flies}(\text{Tweety})$
2. one with $\neg\text{flies}(\text{Tweety})$

Poole's Lottery Paradox

Let $T = \langle \Delta, \Phi \rangle$ where

- $\Delta = \left\{ \frac{\text{bird}(x) : \text{flies}(x) \wedge \neg\text{penguin}(x)}{\text{flies}(x) \wedge \neg\text{penguin}(x)}, \frac{\text{bird}(x) : \text{treenest}(x) \wedge \neg\text{sandpiper}(x)}{\text{treenest}(x) \wedge \neg\text{sandpiper}(x)}, \dots \right\}$
- $\Phi = \{\text{bird}(\text{Tweety})\}$

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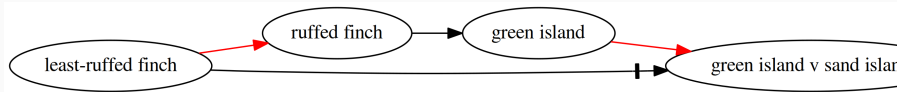
Problem

However, then we conclude

$\neg\text{penguin}(x) \wedge \neg\text{sandpiper}(x) \wedge \neg\dots$ for all bird-species. But then Tweety does not belong to any species of birds.

Typical birds (in an ideal sense) do not exist.

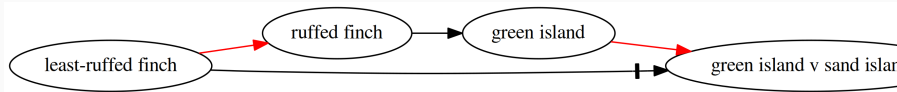
The Finch-Example



Let $T = \langle \Delta, \Phi \rangle$ where

$$\cdot \Delta = \left\{ \begin{array}{l} \frac{\text{ruffed-finch}(x) : \text{green-island}(x)}{\text{green-island}(x)}, \\ \frac{\text{least-ruffed-finch}(x) : \text{green-island}(x) \vee \text{sand-island}(x)}{\text{green-island}(x) \vee \text{sand-island}(x)} \end{array} \right\}$$

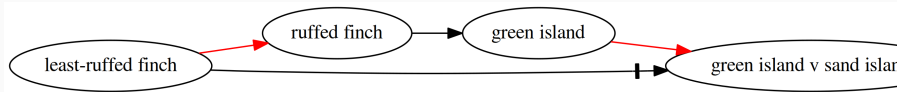
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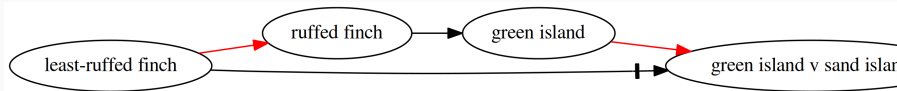
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 - least-ruffed-finch(Frank)

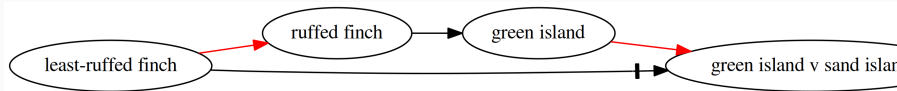
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 - $\text{least-ruffed-finch}(\text{Frank})$
 - $\forall x(\text{least-ruffed-finch}(x) \rightarrow \text{ruffed-finch}(x))$

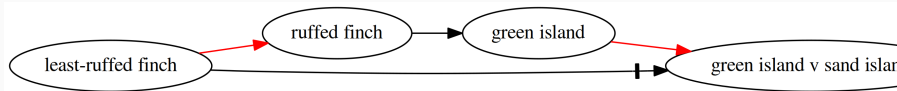
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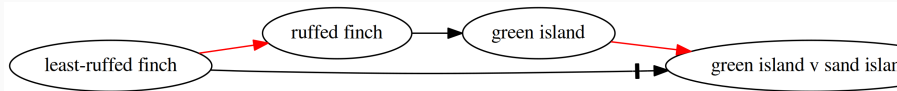


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Problem

the unique extension includes both $\text{green-island}(\text{Frank})$ and $\text{green-island}(\text{Frank}) \vee \text{sand-island}(\text{Frank})$ (since both defaults are triggered)

Problems with Disjunctions

Let $T = \langle \Delta, \Phi \rangle$ where

$$\cdot \Delta = \left\{ \frac{\text{Quaker}(x) : \text{dove}(x)}{\text{dove}(x)}, \frac{\text{republican}(x) : \text{hawk}(x)}{\text{hawk}(x)} \right\},$$

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The bad:

But, in some respect this proposal is too radical:

- $\neg \text{bird}(\text{Keith}), \neg \text{bird}(\text{Fred})$
- for any ground term $t \neq \text{Polly}$:
 - $\text{birdsfly}(t)$
 - $\text{bird}(t) \rightarrow (\text{flies}(t) \wedge \neg \text{baby}(t))$

Semi-normal defaults and the problem of inconsistent assumptions

Let $T = \langle \Delta, \Phi \rangle$ where

$$\cdot \Delta = \left\{ \begin{array}{l} \frac{\text{bird}(x) \quad : \quad \text{flies}(x) \wedge \neg \text{dead}(x)}{\text{flies}(x)} \\ \frac{\text{of-ancient-species}(x) \quad : \quad \text{fossilised}(x) \wedge \text{dead}(x)}{\text{fossilised}(x)} \end{array} \right\}$$

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- $\Phi = \{\text{bird}(\text{Tweety}), \text{of-ancient-species}(\text{Tweety})\}$

Task

Try to see what's the problem here.

Disjunctive Default Logic

Disjunctive Default Logic

Another paradigmatic example

The broken arm

Suppose we have:

$$\frac{\top : \text{lh-usable} \wedge \neg \text{lh-broken}}{\text{lh-usable}} \text{ and } \frac{\top : \text{rh-usable} \wedge \neg \text{rh-broken}}{\text{rh-usable}}$$

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(**Exercise**: check what happens!)

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- This works fine in Reiter if we have $\Phi = \{\text{lh-broken}\}$.
(**Exercise**: check what happens!)
- However, if we have $\Phi_{\vee} = \{\text{rh-broken} \vee \text{lh-broken}\}$, we have a problem! (**Exercise**: try to see why!)

A similar formulation

We have two defaults:

$$\frac{\top : \neg ab_1}{\text{lh-usable}} \text{ and } \frac{\top : \neg ab_2}{\text{rh-usable}}$$

A similar formulation

We have two defaults:

$$\frac{\top : \neg ab_1}{\text{lh-usable}} \text{ and } \frac{\top : \neg ab_2}{\text{rh-usable}}$$

and the factual information $\Phi = \{\text{lh-broken} \supset ab_1, \text{rh-broken} \supset ab_2\} \cup \{\text{lh-broken} \vee \text{rh-broken}\}$.

Disjunctive Default Logic

A new disjunction to the rescue!

Gelfond et al. propose in such cases to use a new disjunction | and formulate the example as follows (we use the first formulation):

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- $\Phi = \{\text{lh} - \text{broken} \mid \text{rh} - \text{broken}\}$.
- The new disjunction is used in such a way that in every extension it is enforced that one disjunct is true.
- **Exercise:** determine the extensions!

Disjunctive Defaults

The new disjunction can also appear in defaults:

$$\frac{\alpha : \beta_1, \dots, \beta_m}{\gamma_1 \mid \dots \mid \gamma_n}$$

where, again,

disjunctive conclusions

- α is the prerequisite,
- β_1, \dots, β_m are the justifications, and
- $\gamma_1, \dots, \gamma_n$ are the conclusions of the default.

... consist of

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- a set of disjunctive defaults and

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- a set of disjunctive defaults and
- a set of facts (possibly with the new disjunction as the most outward connective)

Disjunctive Default Logic

What are extensions now?

Definition 5.1 *Let D be a disjunctive default theory, and let E be a set of sentences. E is an extension for D if it is one of the minimal deductively closed sets of sentences E' satisfying the condition: For any ground instance (9) of any default from D , if $\alpha \in E'$ and $\neg\beta_1, \dots, \neg\beta_m \notin E'$ then, for some i ($1 \leq i \leq n$), $\gamma_i \in E'$. A theorem is a sentence that belongs to all extensions.*

where 'facts' are defaults with empty justification and empty prerequisite.

- **Exercise:** is this problematic?

See, our slides are useful :-)

Extensions of disjunctive default theories (alternative)

Given a disjunctive default theory $\langle \Delta, \Phi \rangle$ let $\Pi_\Phi(\Gamma)$ be the operator that returns the smallest set that satisfy the following requirements:

1. for each $\alpha_1 \mid \dots \mid \alpha_n$ in $\Pi_\Phi(\Gamma)$ there is an $i \leq n$ such that $\alpha_i \in \Pi_\Phi(\Gamma)$
2. $\Pi_\Phi(\Gamma) = \text{Cn}(\Pi_\Phi(\Gamma))$
3. for each $\frac{\alpha:\beta_1,\dots,\beta_n}{\gamma_1 \mid \dots \mid \gamma_m} \in \Delta$ if
 - **trigger**: $\alpha \in \Pi_\Phi(\Gamma)$
 - **consistency**: $\neg\beta_i \notin \Gamma$ for each $i \leq n$then $\gamma_j \in \Pi_\Phi(\Gamma)$ for some $j \leq m$.

Γ is an extension iff $\Gamma = \Pi_\Phi(\Gamma)$.

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choose

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choose

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we want fixed points

choose again

Extensions of disjunctive default theories (alternative)

- **guess** the extension Ξ
- **init beliefs**: Ξ^* pick from each $\alpha_1 \mid \dots \mid \alpha_n \in \Phi$ a member choose
- (+) take a default $\frac{\alpha : \beta_1, \dots, \beta_m}{\gamma_1 \mid \dots \mid \gamma_n} \in \Delta$ and check whether:
 1. **trigger?**: $\Xi^* \vdash \alpha$
 2. **conflicted?**: each β_i ($1 \leq i \leq m$) is consistent with Ξ (!!)
- if yes: **update beliefs**: $\Xi^* := \Xi^* \cup \{\gamma_i\}$ for some $1 \leq i \leq n$
- if no:
 - try another triggered default in Δ (goto (+))
 - if there isn't: **terminate**.
 - if $\Xi = \text{Cn}(\Xi^*)$: extension found.

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Do the two definition characterize the same extensions?

Take $\langle \{ \frac{p:q}{q}, \frac{p:r}{r} \}, \{p \mid q\} \rangle$

With the operational / semi-inductive approach:

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With the operational / semi-inductive approach:

We have two extensions:

1. $Cn(\{p, q, r\})$

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- note that $Cn(\{p, q, r\})$ is not an extension

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- namely $Cn(\{q\})$.
- note that $Cn(\{p, q, r\})$ is not an extension (since $\Pi_{\{p \mid q\}}(Cn(\{p, q, r\})) = Cn(\{q\})$)

Some examples

Compare:

$$T_1 = \left\langle \left\{ \frac{p:q}{q}, \frac{r:s}{s} \right\}, \{p \vee r\} \right\rangle$$

Some examples

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$$T_1 = \left\langle \left\{ \frac{p:q}{q}, \frac{r:s}{s} \right\}, \{p \vee r\} \right\rangle$$

with

$$T_2 = \left\langle \left\{ \frac{p:q}{q}, \frac{r:s}{s} \right\}, \{p \mid r\} \right\rangle$$

Disjunctive Default Logic

Covers

Covers: can disjunctive default theory be simulated in standard default theory?

Let a **cover** of a disjunctive default theory T be a Reiter default theory in which for each $\alpha_1 \mid \dots \mid \alpha_n$ occurring in T is replaced by some α_i where $1 \leq i \leq n$.

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Example

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- $T''_2 = \left\langle \left\{ \frac{p:q}{q}, \frac{r:s}{s} \right\}, \{r\} \right\rangle$
- T'_2 has one extension: $Cn(\{p, q\})$.
- T''_2 has one extension: $Cn(\{r, s\})$
- These exactly coincide with the extensions of T_2 .

Does the set of extensions of the covers always coincide with the set of extensions of the disjunctive default theory (according to the fixed point approach or the semi-inductive approach)?

A counter-example for the fixed point approach:

Take $T_3 = \langle \{ \frac{p:q}{q}, \frac{p:r}{r} \}, \{p \mid q\} \rangle$.

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Exercise:

- determine the covers of T_3 .
- determine an extension of a cover that is not a fixed point extension of T_3 .

Disjunctive Default Logic

A problematic example?

A problem? A variant of the broken arm

Take $T_4 = \langle \{ \frac{\text{writing-legibly:}\neg\text{rh-broken}}{\neg\text{rh-broken}} \}, \{ \text{lh-broken} \mid \text{rh-broken, writing-legibly} \} \rangle$.

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Take $T_4 = \langle \{ \frac{\text{writing-legibly:}\neg\text{rh-broken}}{\neg\text{rh-broken}} \}, \{ \text{lh-broken} \mid \text{rh-broken, writing-legibly} \} \rangle$.

Exercise: try to see what happens and evaluate whether you find this problematic.

Disjunctive Default Logic

Some exercises

Another exercise

Let $T_5 = \langle \{ \frac{r:p \vee q}{p|q}, \frac{s:\neg p}{\neg p} \}, \{s, r\} \rangle$.

Another exercise

Let $T_5 = \langle \{ \frac{r:p \vee q}{p|q}, \frac{s:\neg p}{\neg p} \}, \{s, r\} \rangle$.

- Determine the extensions.
- Does q follow skeptically? What do you think?

Another exercise

Let $T_6 = \langle \{ \frac{p:q \vee r}{q|r}, \frac{q:s}{s}, \frac{s:v}{v}, \frac{r:v}{v}, \frac{t:\neg s}{\neg s} \}, \{p, t\} \rangle$.

Another exercise

Let $T_6 = \langle \{ \frac{p:q \vee r}{q|r}, \frac{q:s}{s}, \frac{s:v}{v}, \frac{r:v}{v}, \frac{t:\neg s}{\neg s} \}, \{p, t\} \rangle$.

- Determine the extensions.
- Is v a skeptical consequence?
- Is $\neg s$ a skeptical consequence? What do you think about this?

Other variants

Other variants

Constrained Default Logic: relying on a consistent set of justifications

Poole's broken arm: Constrained default logic

Let

$$T = \langle \left\{ \frac{\top : \text{usable}(a) \wedge \neg \text{broken}(a)}{\text{usable}(a)}, \frac{\top : \text{usable}(b) \wedge \neg \text{broken}(b)}{\text{usable}(b)} \right\}, \{ \text{broken}(a) \vee \text{broken}(b) \} \rangle.$$

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- do you see why this is counter-intuitive?
- enters: Constrained default logic (Schaub (1992))
- idea: keep track of used justifications and check whether they are consistent with the produced belief set

Fixed point characterization

Given a default theory $\langle \Delta, \Phi \rangle$ and a set of formulas Γ , let $\Pi_\Phi(\Gamma)$ be the function that returns the pair of smallest sets of formulas (Θ, Λ) that satisfies the following properties:

1. $\Phi \subseteq \Theta \subseteq \Lambda$

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(Θ, Λ) is a constrained extension of $\langle \Delta, \Phi \rangle$ iff $\Pi_\Phi(\Lambda) = (\Theta, \Lambda)$.

Check what happens in this approach when applied to our previous example.

Some authors define variants of default logic that validate Cautious Monotonicity also by means of a refined handling of justifications. See (Brewka (1991); Antonelli (1999)).

Other variants

Introducing Priorities

First approach: ala Brewka (1994)

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- the idea is: if we have a choice between applying two triggered defaults δ and δ' , we opt for the prioritized one
- a **prioritized default theory** is given by $\langle \Delta, \Phi, \prec \rangle$

Building extensions

Given a prioritized default theory $\langle \Delta, \Phi, \prec \rangle$ we build its extension as follows:

- add all facts to the initial belief set: $\Xi^* = \Phi$
- let $\Delta^* = \Delta$
- loop:
 - check if there is a smallest $\frac{\alpha:\beta_1,\dots,\beta_n}{\gamma} \in \Delta^*$ that is
 - **triggered**: $\Xi^* \vdash \alpha$
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- let $T = \langle \{\delta_1 = \frac{b:f}{f}, \delta_2 = \frac{p:\neg f}{\neg f}\}, \{p, p \rightarrow b\}, \{(\delta_2, \delta_1)\} \rangle$. What can you derive?

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Exercise:

let \prec be a non-linear strict order on $\Delta = \{\delta_1, \delta_2, \delta_3\}$ for which $\delta_1 \prec \delta_2$ and $\delta_1 \prec \delta_3$. Find all linear completions of \prec .

... you find in (Horty (2007, 2012)).

A Semantics for Default Logic

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Basic Idea following (Lin and Shoham (1990, 1992))

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General Translation of Defaults

$$\frac{A : B_1, \dots, B_n}{C} \rightsquigarrow \mathbf{K}A \wedge \neg\mathbf{A}\neg B_1 \wedge \dots \wedge \neg\mathbf{A}\neg B_n \supset \mathbf{K}C$$

Shoham is a co-author, so let's see the semantic selection!

Going nonmonotonic: Selection Semantics

We define the following order on the models of our logic:

Definition 1 (Ordering)

Where for any model M , $K(M) = \{B \mid M \models KB\}$ and

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M is preferred over M' , written $M \sqsubset M'$, iff

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A Semantics for Default Logic

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- Take a model with $\neg \mathbf{A}p$. But then also $\mathbf{K}p$ holds, and thus the model is not selected.

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