## ESSLLI Tutorial: Nonmonotonic Logic

Structured Argumentation

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## Aims of this session

- learn about the basic ideas behind Structured Argumentation
- learn about how to handle priorities
- learn about some possible pitfalls


## On the way to Structured Argumentation

## Formal Argumentation as a Model for Defeasible Reasoning

- reasoning as an argumentative activity an agent has with herself



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- defeasibility as a result of the dynamics that results from tensions between considerations and counter-considerations



## Formal Argumentation as a Model for Defeasible Reasoning

- reasoning as an argumentative activity an agent has with herself
- defeasibility as a result of the dynamics that results from tensions between considerations and counter-considerations
- some empirical evidence for the material adequacy of such a formal
 account Mercier and Sperber (2011)


## Shifting Perspective: from Support to Attack and Acceptability Dung (1995)



- argument: abstract, points in a directed graph
- arrows: argumentative attacks


## Argumentation Semantics

select sets of arguments that represent rational stances, i.e., they are conflict-free, defended, etc.

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## Back to Formal Logic: Structural / Instantiated Argumentation



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- define attacks relative to this structure


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- define attacks relative to this structure
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- premise-attack (sometimes ‘undercut')


## Some of the proposed systems (non-exhaustive)

## Dung-based

- ASPIC $^{+}$Prakken (2011); Modgil and Prakken $(2013,2014)$
- ABA (Assumption-Based Argumentation) Dung et al. (2009)
- Logic-Based Argumetation Besnard and Hunter (2001, 2009)
- Sequent-based Argumentation Arieli (2013); Arieli and Straßer (2015)


## Some of the proposed systems (non-exhaustive)

Not Dung-based (doesn't mean not Dung-related)

- OSCAR: Pollock (1995)
- Defeasible Logic: Nute (1994); Governatori et al. (2004)
- Defeasible Logic Programming: García and Simari (2004)
- DEFLOG: Verheij $(2000,2003)$
- etc.

What are arguments in ASPIC ${ }^{+}$?

## Rules and Argumentation Systems

- In ASPIC ${ }^{+}$we deal with two types of rules:

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## Definition 1 (Argumentation System)

An argumentation system $\mathrm{AS}=\left\langle\mathcal{L}, \mathcal{S}, \mathcal{D},{ }^{-}\right\rangle$in a formal language $\mathcal{L}$ consists of a set of strict rules $\mathcal{S}$, a set of defeasible rules $\mathcal{D}$, and a contrariness function from $\mathcal{L}$ to $2^{\mathcal{L}}$.

## Knowledge base

Arguments are built on top of a knowledge base. We have two types of information in our knowledge base:

- strict/certain information collected in the set $\mathcal{K}_{n}$
- assumptions: collected in the set $\mathcal{K}_{a}$


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## Definition 2 (Knowledge Base)

A knowledge base is a set $\mathcal{K}$ of formulas (in $\mathcal{L}$ ) where $\mathcal{K}=\mathcal{K}_{n} \cup \mathcal{K}_{a}$ and $\mathcal{K}_{n} \cap \mathcal{K}_{a}=\emptyset$.

## Definition 3 (Arguments)

Let $\mathrm{AS}=\left\langle\mathcal{L}, \mathcal{S}, \mathcal{D},{ }^{-}\right\rangle$be an argumentation system and $\mathcal{K}=\mathcal{K}_{n} \cup \mathcal{K}_{a}$ a knowledge base. An argument $a$ based on AS and $\mathcal{K}$ is:

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## Arguments

We write $\operatorname{Arg}(\mathrm{AS}, \mathcal{K})$ for the set of all arguments built on top of AS and $\mathcal{K}$.

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- defeasible argument: at least one defeasible rule is used
- firm argument: only based on strict premises in $\mathcal{K}_{n}$
- plausible argument: at least one defeasible premise in $\mathcal{K}_{a}$ is used


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Why is this distinction useful. Isn't it sufficient to work simply with classical negation?

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We come back to this in a slide ...

Definition 4 (Argumentative Attack)
Where $a, b \in \operatorname{Arg}(\mathrm{AS}, \mathcal{K})$,

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- $a$ (restricted) rebuts $b$ iff $\operatorname{Conc}(a) \in \bar{B}$ where $B=\operatorname{Conc}\left(b^{\prime}\right)$ for some $b^{\prime} \in \operatorname{Sub}(b)$ and $b^{\prime}$ is of the form $\left\langle b_{1}, \ldots, b_{m} \Rightarrow B\right\rangle$


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- $a$ undercuts $b$ iff $\operatorname{Conc}(a)=\overline{N(r)}$ for some $b^{\prime} \in \operatorname{Sub}(b)$ where $b^{\prime}$ is of the form $\left\langle b_{1}, \ldots, b_{m} \Rightarrow B\right\rangle$ and based on the defeasible rule $r=\operatorname{Conc}\left(b_{1}\right), \ldots, \operatorname{Conc}\left(b_{n}\right) \Rightarrow B$ with the name $N(r)$.


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So penguin $\in \overline{\sim \text { penguin．}}$ But $\langle\sim$ penguin $\rangle$ should not attack an argument with the conclusion penguin．So，
$\sim$ penguin $\notin \overline{\text { penguin }}$ ．

## Structured Argumentation System (without priorities)

A structured argumentation system $\mathrm{AT}=\langle\operatorname{Arg}(\mathrm{AS}, \mathcal{K}), \sim\rangle$ is an argumentation system equipped with argumentative attacks (define in some, possibly all, of the above ways) giving rise to $\sim \subseteq \operatorname{Arg}(\mathrm{AS}, \mathcal{K}) \times \operatorname{Arg}(\mathrm{AS}, \mathcal{K})$.

## Back to the example



- undermining


## Back to the example



- undermining
- rebutting


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## Argumentation Semantics

We use Dung-style semantics to select sets of arguments. A set $\mathcal{B} \subseteq \operatorname{Arg}(\mathrm{AS}, \mathcal{K})$

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- etc.


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- $\mathcal{S}=\{$ Married $\rightarrow \neg$ Bachelor, Bachelor $\rightarrow \neg$ Married $\}$


## Why restricted rebuttal? (cont.)

We have e.g., the following arguments:

- $a_{1}=\langle$ WearsRing $\rangle, b_{1}=\langle$ PartyAnimal $\rangle$
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- $a_{3}=\left\langle a_{2} \mapsto \neg\right.$ Bachelor $\rangle$
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giving rise to (with restricted rebuts)



## Why restricted rebuttal? (cont.)

- Preferred Extension 1:



## Why restricted rebuttal? (cont.)

- Preferred Extension 1:
a1

- Preferred Extension 2:



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and now we allowing for rebuts on conclusions obtained by strict rules:



## Why restricted rebuttal? (cont.)

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Problem: now we also get the preferred extension:


## Consequence Relations

## Definition 5

Where $\mathrm{AT}=\langle\operatorname{Arg}(\mathrm{AS}, \mathcal{K}), \leadsto\rangle$ is a structured argumentation framework and the semantics sem is one of the Dung-semantics defined above, we define:

- AT $\mathcal{r}_{\text {sem }}^{\cup} A$ iff there is an $a \in \mathcal{B}$ with $\operatorname{Conc}(a)=A$ for some $\mathcal{B} \in \operatorname{sem}(A T)$


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- AT $\sim_{\text {sem }}^{n} A$ iff for every $\mathcal{B} \in \operatorname{sem}(A T)$ there is an $a \in \mathcal{B}$ such that $\operatorname{Conc}(a)=A$.

Note: $\sim_{\text {sem }}^{\cap}$ admits floating conclusions, while $\sim_{\text {sem }}^{n}$ blocks them.

## Example: Nixon

- $\mathcal{K}_{a}=$
\{quaker, republican\}
- $\mathcal{D}$ consists of
- quaker $\Rightarrow$ dove
- republican $\Rightarrow$ hawk
- dove $\Rightarrow \neg$ hawk
- hawk $\Rightarrow \neg$ dove
- dove $\Rightarrow$ polMotivated
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- $a_{5}=\left\langle a_{4} \Rightarrow \neg\right.$ dove $\rangle$


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- $a_{4}=\langle\langle$ republican $\rangle \Rightarrow$ hawk $\rangle$
- $a_{5}=\left\langle a_{4} \Rightarrow \neg\right.$ dove $\rangle$
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We have three preferred extensions (highlighted arguments for polMotivated),

- one including $a_{1}, a_{2}, a_{3}$
- one including $a_{4}, a_{5}, a_{6}$
- one including $a_{1}, a_{3}, a_{4}, a_{6}$

Introducing priorities

## On the level of arguments

## Definition 6 (Structured Argumentation Framework)

A structured argumentation framework
$\mathrm{AT}=\langle\operatorname{Arg}(\mathrm{AS}, \mathcal{K}), \sim, \preceq\rangle$ where AS is an argumentation theory, $\mathcal{K}$ a knowledge base, $\leadsto \subseteq \operatorname{Arg}(A S, \mathcal{K}) \times \operatorname{Arg}(A S, \mathcal{K})$ an attack relation and $\preceq \subseteq \operatorname{Arg}(\mathrm{AS}, \mathcal{K}) \times \operatorname{Arg}(\mathrm{AS}, \mathcal{K})$ an preorder (reflexive and transitive) on $\operatorname{Arg}(A S, \mathcal{K})$.

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## Definition 7 (Defeat)

Where $a, b \in \operatorname{Arg}(\operatorname{AS}, \mathcal{K})$, $a$ defeats $b$ iff $a \sim b$ and

- either $a$ undercuts $b$ or
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We can now define the argumentation semantics relative to the notion of defeat instead of the notion of argumentative attack.

## Example

suppose we have:

- $\mathcal{K}_{a}=$
\{WearsRing, PartyAnimal\}
- $\mathcal{D}=\left\{r_{1}=\right.$ WearsRing $\Rightarrow$

Married, $r_{2}=$ PartyAnimal $\Rightarrow$
Bachelor\}

- $\mathcal{S}=\{$ Married $\rightarrow$
$\neg$ Bachelor, Bachelor $\rightarrow$
$\neg$ Married $\}$
... and we have the arguments:
- $a_{1}=\langle$ WearsRing $\rangle$,
- $b_{1}=\langle$ PartyAnimal $\rangle$
- $a_{2}=\left\langle a_{1} \Rightarrow\right.$ Married $\rangle$
- $b_{2}=\left\langle b_{1} \Rightarrow\right.$ Bachelor $\rangle$
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Where $a_{2}, a_{3} \prec b_{2}, b_{3}$ then we have the following defeat graph:


## Calculating Argument Strength bottom-up

Suppose we are equipped with priority ordering
$\leq \subseteq\left(\mathcal{K}_{a} \times \mathcal{K}_{a}\right) \cup(\mathcal{D} \times \mathcal{D})$ on

- the defeasible premises $\mathcal{K}_{a}$ and
- the defeasible rules $\mathcal{D}$


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We are interested in lifting this on the level of arguments constructed using information in $\mathcal{K}_{a} \cup \mathcal{K}_{n}$ and rules in $\mathcal{D} \cup \mathcal{S}$.

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We introduce two possible ways of doing so, via

1. the weakest-link principle
2. the last-link principle

The idea behind weakest-link is that an argument is as strong as its weakest link, which can be a used assumption in $\mathcal{K}_{a}$ or a used defeasible rule in $\mathcal{D}$.

We first lift $\leq$ to sets of formulas:

## Definition 8 (Elitist Lifting, from $\leq$ to $\unlhd$ )

Where $\overline{\text { I }} \bar{\Xi}^{\prime} \in 2^{\mathcal{K}}{ }^{\mathcal{L}} \cup 2^{\mathcal{D}}$ are finite,

1. If $\Xi=\emptyset$ then $\equiv \nexists \Xi^{\prime}$

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3. $\Xi \unlhd \Xi^{\prime}$ if there is an $A \in \Xi$ such that for all $B \in \Xi^{\prime}, A \leq B$.

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## Definition 9 (Weakest Link Ordering, from $\unlhd$ to $\preceq$ )

Where $a, b \in \operatorname{Arg}(A S, \mathcal{K}), a \preceq b$ iff

1. if both $a$ and $b$ are strict, then
$\operatorname{Prem}(a) \cap \mathcal{K}_{a} \unlhd \operatorname{Prem}(b) \cap \mathcal{K}_{a}$

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2. if both $a$ and $b$ are firm, then $\operatorname{DefRules}(a) \unlhd \operatorname{DefRules}(b)$.

We first lift $\leq$ to sets of formulas:

## Definition 8 (Elitist Lifting, from $\leq$ to $\unlhd$ )

Where $\equiv, \Xi^{\prime} \in 2^{\mathcal{K}_{a}} \cup 2^{\mathcal{D}}$ are finite,

1. If $\equiv=\emptyset$ then $\equiv \nsubseteq \Xi^{\prime}$
2. If $\Xi^{\prime}=\emptyset$ and $\equiv \neq \emptyset$ then $\equiv \unlhd \Xi^{\prime}$
3. $\equiv \unlhd \Xi^{\prime}$ if there is an $A \in \equiv$ such that for all $B \in \Xi^{\prime}, A \leq B$.

## Definition 9 (Weakest Link Ordering, from $\unlhd$ to $\preceq$ )

Where $a, b \in \operatorname{Arg}(\operatorname{AS}, \mathcal{K}), a \preceq b$ iff

1. if both $a$ and $b$ are strict, then
$\operatorname{Prem}(a) \cap \mathcal{K}_{a} \unlhd \operatorname{Prem}(b) \cap \mathcal{K}_{a}$
2. if both $a$ and $b$ are firm, then $\operatorname{DefRules}(a) \unlhd \operatorname{DefRules}(b)$.
3. else: $\operatorname{Prem}(a) \cap \mathcal{K}_{a} \unlhd \operatorname{Prem}(b) \cap \mathcal{K}_{a}$ and DefRules $(a) \unlhd$ DefRules $(b)$

The rationale behind last-link is that arguments are compared in their last link. As a result, an argument $a$ is preferred over $b$ if its last used defeasible rules are preferred over the last defeasible rules used in $b$.

## Definition 10 (Last defeasible rules)

Where $a$ is a defeasible argument:

- if $a=\left\langle a_{1}, \ldots, a_{n} \Rightarrow A\right\rangle$ then
$\operatorname{LastDefRules}(a)=\left\{\operatorname{Conc}\left(a_{1}\right), \ldots, \operatorname{Conc}\left(a_{n}\right) \Rightarrow A\right\}$


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## Definition 11 (Last Link principle)

Where $a, b \in \operatorname{Arg}(A S, \mathcal{K})$, then $a \preceq b$ iff

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1. $a$ is a defeasible argument and $b$ a strict argument, or
2. LastDefRules $(a) \unlhd$ LastDefRules(b) and both are defeasible arguments, or
3. $\operatorname{Prem}(a) \cap \mathcal{K}_{a} \unlhd \operatorname{Prem}(b) \cap \mathcal{K}_{a}$ if both are strict arguments.

## Remark: Lifting

Instead of using the elitist lifting, one may also consider the democratic lifting principle, according to which:

## Definition 12 (Democratic Lifting)

Where $\equiv, \Xi^{\prime} \in 2^{\mathcal{K}_{a}} \cup 2^{\mathcal{D}}$ are finite,

1. If $\Xi=\emptyset$ then $\equiv \nexists \Xi^{\prime}$

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... time for the snoring professor ...

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Since $r_{3}<r_{2}$ we have $a_{5} \prec a_{3}$ and so $a_{3}$ strictly defeats $a_{5}$.

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We are interested in the conflict between $a_{3}$ and $a_{5}$. We now compare with Weakest-Link.

- $\operatorname{Prem}\left(a_{3}\right) \cap \mathcal{K}_{a}=\{$ snores $\} \unlhd\{\operatorname{prof}\}=\operatorname{Prem}\left(a_{5}\right) \cap \mathcal{K}_{a}$

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## Changing the Interpretation to an epistemic one

Suppose we have:

- $\mathcal{K}_{a}=$
\{bornInScotland, fitnessLover\} We have, e.g., the following:
- D consists of
- $r_{1}=$ bornInScotland $\Rightarrow$ Scottish
- $r_{2}=$ Scottish $\Rightarrow$ likesWhisky
- $r_{3}=$ fitnessLover $\Rightarrow$ $\neg$ likesWhisky
- $a_{1}=\langle$ bornInScotland $\rangle$
- $a_{2}=\left\langle a_{1} \Rightarrow\right.$ Scottish $\rangle$
- $a_{3}=\left\langle a_{2} \Rightarrow\right.$ likesWhisky $\rangle$
- $a_{4}=\langle$ fitnessLover $\rangle$
- $a_{5}=\left\langle a_{4} \Rightarrow \neg\right.$ likesWhisky $\rangle$
- bornInScotland $<$ fitnessLover
and $r_{1}<r_{3}<r_{2}, r_{1}<r_{2}$
Now it seems more reasonable to go with Weakest-Link!

Rationality Postulates

## Caminada and Amgoud (2007)

Caminada and Amgoud stated 4 central rationality postulates for extensions $\mathcal{E}$ of a given argumentation framework $\langle\operatorname{Arg}(\mathrm{AS}, \mathcal{K}), \sim, \preceq\rangle$

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2. Closure under strict rules: where $a_{1}, \ldots, a_{n} \in \mathcal{E}$ and $\operatorname{Conc}\left(a_{1}\right), \ldots, \operatorname{Conc}\left(a_{n}\right) \rightarrow B \in \mathcal{S}$ also $\left\langle a_{1}, \ldots, a_{n} \mapsto B\right\rangle \in \mathcal{E}$

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3. Direct consistency: $\{\operatorname{Conc}(a) \mid a \in \mathcal{E}\}$ is consistent, where a set $\equiv \subseteq \mathcal{L}$ is consistent iff there are no $A, B \in \equiv$ for which $A \in \bar{B}$

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4. indirect consistency: the set obtained by closing $\{\operatorname{Conc}(a) \mid a \in \mathcal{E}\}$ under the strict rules in $\mathcal{S}$ is consistent.

## When are these postulates met?

1. the underlying argument theory should be well formed, meaning that whenever $A$ is a contrary of some $B$ then $B$ is not a strict premise or the consequent of a strict rule.

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- transposition: if $A_{1}, \ldots, A_{n} \rightarrow B \in \mathcal{S}$ then $A_{1}, \ldots, A_{i-1}, B^{\prime}, A_{i}, \ldots, A_{n} \rightarrow A_{i}^{\prime}$ where $1 \leq i \leq n, B^{\prime}$ is a contrapositary of $B$ and $A_{i}$ is a contrapositary of $A_{j}^{\prime}$; or


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- contraposition: for all $\equiv \subseteq \mathcal{L}$ and $A \in \equiv$, if $\equiv \vdash_{\mathcal{S}} B$ then
$\equiv \backslash\{A\} \cup\left\{B^{\prime}\right\} \vdash_{\mathcal{S}} A^{\prime}$ where $B^{\prime}$ is a contrapositary of $B$ and $A^{\prime}$ is a contrapositary of $A$


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Note: the Weakest/Last-Link principles as defined above are reasonable.

## Why Transposition

Suppose we use:

- $\mathcal{K}_{a}=\{$ WearsRing, PartyAnimal\}
- $\mathcal{D}=\left\{r_{1}=\right.$ WearsRing $\Rightarrow$ Married, $r_{2}=$ PartyAnimal $\Rightarrow$

Bachelor\}

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- $a_{3}=\left\langle a_{2} \mapsto \neg\right.$ Bachelor $\rangle$


## Why well-formedness?

Recall: whenever $A$ is the contrary of some $B, B$ is not a strict premise or the consequent of a strict rule.

We come back to our application with negation-as-failure.
Suppose we have:

- $\mathcal{K}_{n}=\{\sim$ penguin, livesInAlaska, bird $\}$
- $\mathcal{K}_{a}=\emptyset$
- $\mathcal{D}=\{$ bird, livesInAlaska $\Rightarrow$ penguin $\}$

Can you see why direct consistency doesn't hold for this example?

## Contamination, Interference Wu (2012); Caminada et al. (2012)

Another rationality postulate is Non-Interference: it says that for two sets of formulas ミ and $\Xi^{\prime}$ that are syntactically disjoint (they share no atoms) we have
$\operatorname{Cn}(\equiv)_{\mid \operatorname{Atoms}(\equiv)}=\operatorname{Cn}\left(\equiv \cup \Xi^{\prime}\right)_{\mid \operatorname{Atoms}(\equiv)}$.

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In particular, there should not be a contaminating set, that is a set $\Lambda($ where $\operatorname{Atoms}(\Lambda) \subset \operatorname{Atoms}(\mathcal{L}))$ such that for every $\equiv$ that is syntactically disjoint from $\Lambda, C n(\Lambda)=C n(\Lambda \cup \equiv)$.

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- suppose our strict rules allow for all the inferences of classical logics, in particular $s, \neg s \rightarrow \neg r$
- $a=\langle\langle\mathrm{Js}\rangle,\langle\mathrm{Jrel}\rangle \Rightarrow \mathrm{s}\rangle$
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- $a=\langle\langle\mathrm{Js}\rangle,\langle\mathrm{Jrel}\rangle \Rightarrow \mathrm{s}\rangle$
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## Exercise

- See what happens in grounded semantics!
- Does it help to move to e.g., preferred semantics?


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We have, for instance, the following arguments:

- $a_{1}=\langle\langle\mathrm{Junr}\rangle,\langle\mathrm{Jrel}\rangle \Rightarrow \neg \mathrm{Jrel}\rangle$


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## A complication (cont.)

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