

# A logical approach to Isomorphism Testing and Constraint Satisfaction

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Part 6:  
Existential-positive  $\text{FO}^2$  and Constraint Satisfaction

- 1 Constraint Satisfaction Problem and Constraint Propagation
- 2 The existential  $k$ -pebble game
- 3  $k$ -Consistency Checking
- 4 Time complexity of Arc Consistency

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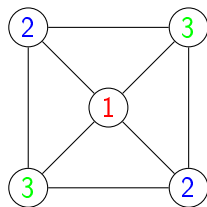
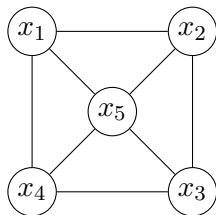
# Constraint Satisfaction Problem (CSP)

Variables  $x_1, x_2, x_3, x_4, x_5$

Values  $x_i \in \{1, 2, 3\}$

Constraints  $x_1 \neq x_2, x_2 \neq x_3, x_3 \neq x_4, x_4 \neq x_1,$   
 $x_1 \neq x_5, x_2 \neq x_5, x_3 \neq x_5, x_4 \neq x_5$

**Question:** Is there an assignment of values to the variables satisfying all constraints?



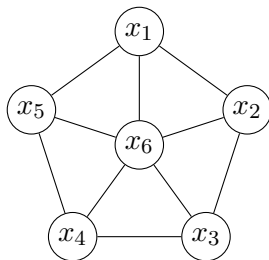
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No!

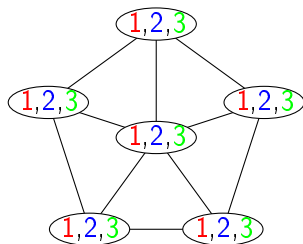
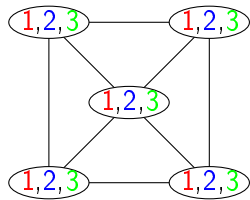
# Constraint propagation

**Methodology:** derivation instead of search

Example: 3-COLORABILITY.

We can choose an arbitrary edge and color it arbitrarily.

Derivation rules:  $\frac{x = 1, y \neq x}{y \neq 1}$  etc.



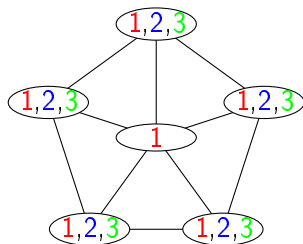
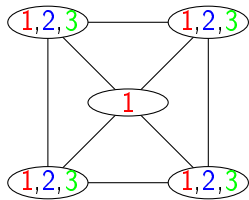
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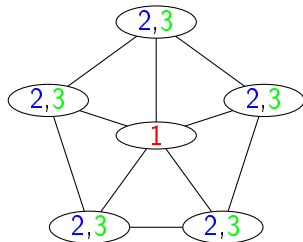
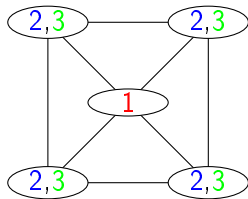
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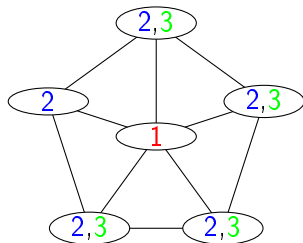
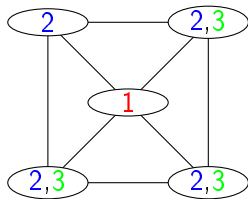
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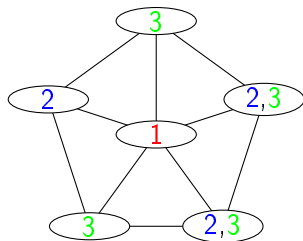
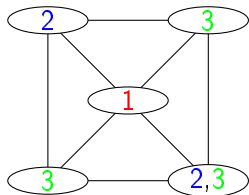
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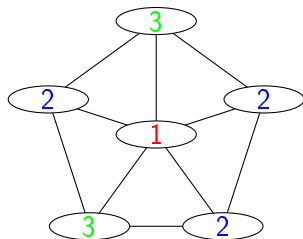
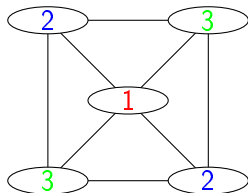
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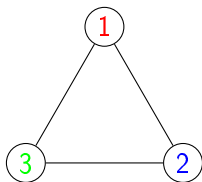
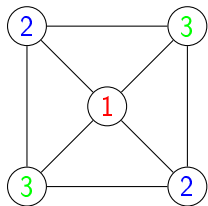
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# The Feder-Vardi paradigm: a CSP = a Homomorphism Problem

For example, a graph  $G$  is 3-colorable iff there is a homomorphism from  $G$  to  $K_3$  (notation:  $G \rightarrow K_3$ ).



# Outline

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# A logic and a game for the Homomorphism Problem

The following three conditions are equivalent:

- $G \not\rightarrow H$ ,
- some **existential-positive** formula distinguishes  $G$  from  $H$ ,
- Spoiler has a winning strategy in the **existential  $k$ -pebble game** on  $G$  and  $H$  for some  $k$ .

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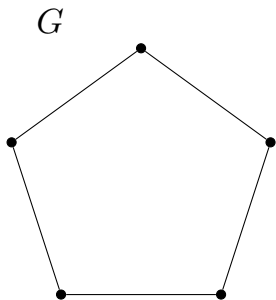
The **existential-positive logic**  $\text{FO}_{\exists,+}$  allows only monotone Boolean connectives (no negation) and only existential quantifiers (no universal quantification).

The **existential  $k$ -pebble game** on  $G$  and  $H$  is the version of the  $k$ -pebble Ehrenfeucht game where

- Spoiler moves **always in  $G$** ,
- Duplicator must keep a partial **homomorphism**.



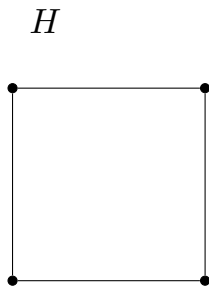
# The existential $k$ -pebble game (Kolaitis-Vardi)



Spoiler



Objective:  
show that  $\neg \exists$  homom.  $G \rightarrow H$

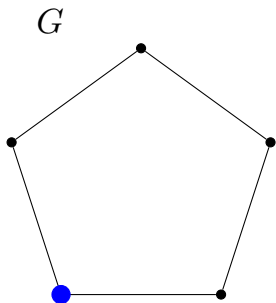


Duplicator

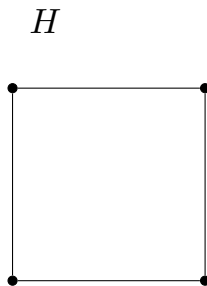


it may exist

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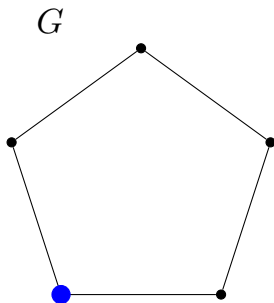
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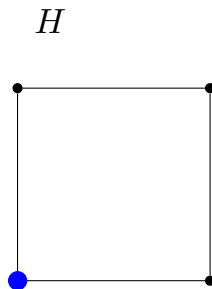
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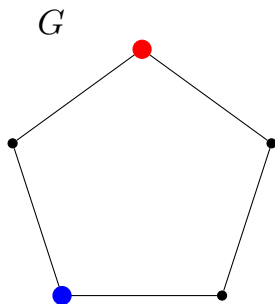
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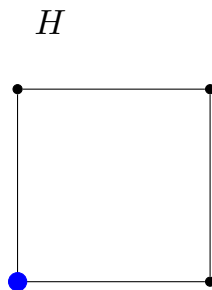
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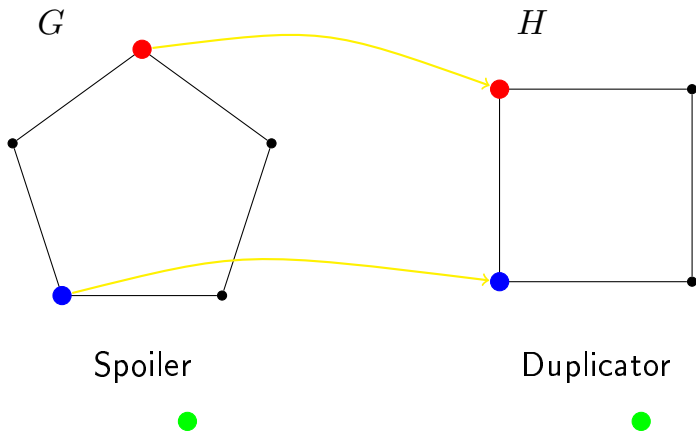
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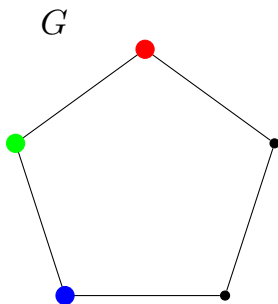
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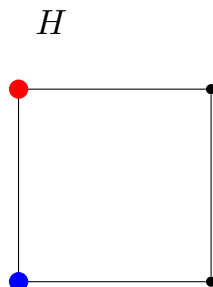
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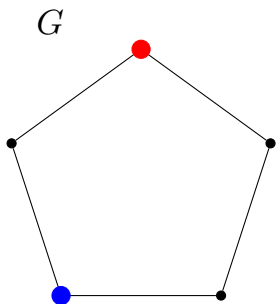
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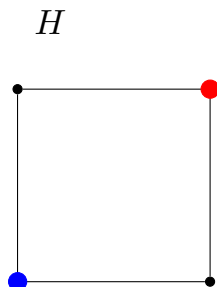
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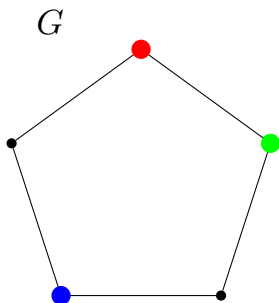
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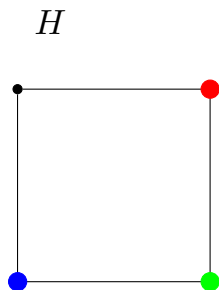
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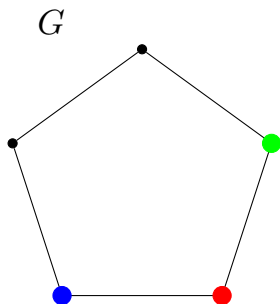
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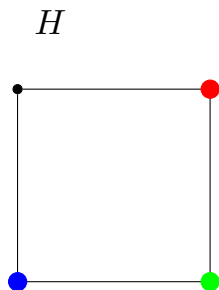
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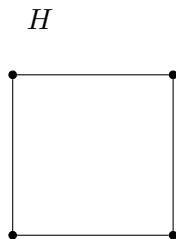
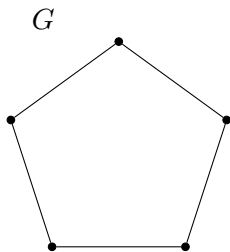
# The existential $k$ -pebble game

## Observation:

If there is a homomorphism  $h$  from  $G$  to  $H$ , then Duplicator wins by pebbling  $h(v)$  if Spoiler pebbles  $v$ .

Hence, the game can be used as heuristics for the CSP.

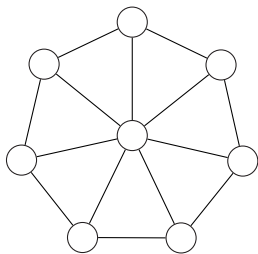
Of course, it is incomplete if  $k$  is not large enough.  
In our example,  $k = 3$  is enough and  $k = 2$  is not.



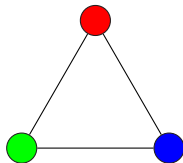
## Another example

Let  $G_n$  denote the wheel graph with  $n$  vertices.

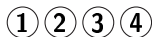
If  $n$  is even, then Spoiler wins the existential game on  $G_n$  and  $K_3$  with 4 pebbles.



Spoiler



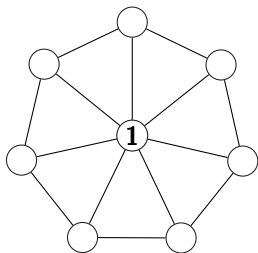
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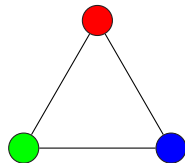
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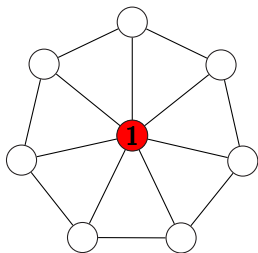
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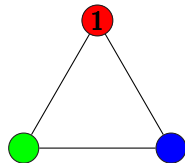
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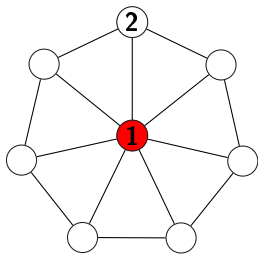
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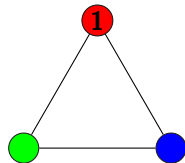
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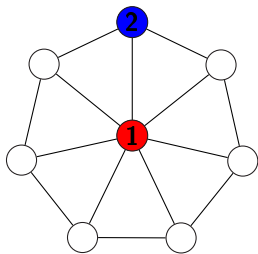
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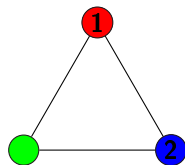
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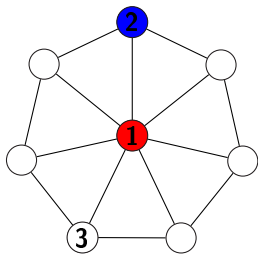
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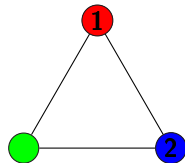
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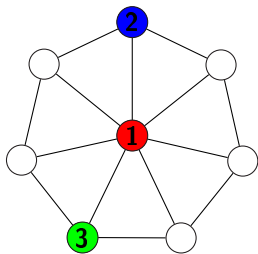
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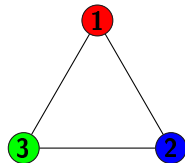
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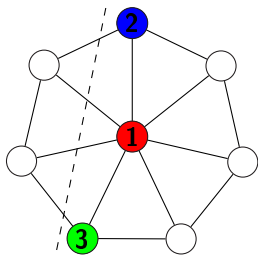
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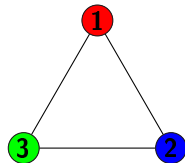
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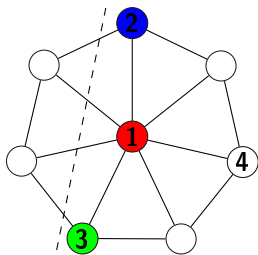
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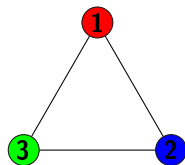
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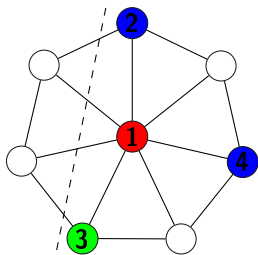
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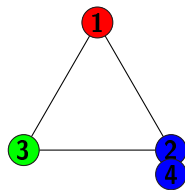
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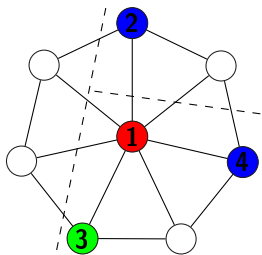


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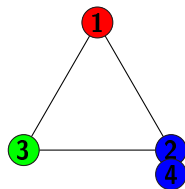
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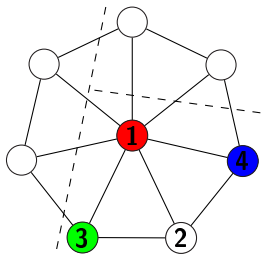


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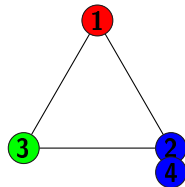
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# The triad: A logic, a game, an algorithm

## Theorem (Kolaitis, Vardi 95)

*Suppose that  $G \not\equiv H$ . Then the following three conditions are equivalent:*

- $W_{\exists,+}(G, H) \leq k$ , i.e.,  $G$  is distinguishable from  $H$  by an existential-positive sentence with  $k$  variables;
- Spoiler wins the existential  $k$ -pebble game on  $G$  and  $H$ ;
- **$k$ -Consistency Checking** recognizes that  $G \not\equiv H$ .



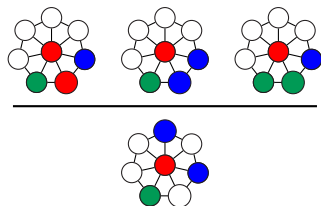
# $k$ -Consistency Checking (recasted)

## Algorithmic problem

Given two finite structures  $G$  and  $H$ , does Spoiler win the existential  $k$ -pebble game on these structures?

- This is a relaxation of the homomorphism problem.
- For small  $k$ , it is commonly used as a heuristics approach.

A **propagation-based algorithm** makes derivations like



- winning positions for Spoiler



- a winning position too

(a position is a mapping of  $\leq k$  vertices from  $V(G)$  into  $V(H)$ )

Spoiler has a winning strategy  $\Leftrightarrow$  the uncolored graph is derivable.

## The time complexity of $k$ -Consistency Checking

Since there are at most  $N = v(G)^k v(H)^k$  positions, all derivations can be generated in time  $N^{k+1}$  (the wasteful version of  $k$ -consistency checking).

Nevertheless, if  $k$  is fixed, this takes polynomial time (while CSP is NP-complete).

# The time complexity of $k$ -Consistency Checking

## Theorem

The  $k$ -Consistency problem is solvable in

- time  $O(v(G)^k v(H)^k) = O(n^{2k})$  for each  $k$  [Cooper 89]
- but not in time  $O(n^{\frac{k-3}{12}})$  for  $k \geq 15$  [Berkholz 12]

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In practice: All known Arc Consistency ( $k = 2$ ) algorithms

- AC-1, AC-3, AC-3.1 / AC-2001, AC-3.2, AC-3.3, AC-3<sub>d</sub>, AC-4, AC-5, AC-6, AC-7, AC-8, AC-\* etc.

are based on constraint propagation.

# Outline

- 1 Constraint Satisfaction Problem and Constraint Propagation
- 2 The existential  $k$ -pebble game
- 3  $k$ -Consistency Checking
- 4 Time complexity of Arc Consistency**

## Bounds for the propagation approach

Denote the number of vertices and edges by  $v(G)$  and  $e(G)$  resp.

### Theorem (Berkholz, V. 2013)

- 1 *Arc Consistency is solvable in time  $O(v(G)e(H) + e(G)v(H))$ , which implies  $O(n^3)$  in terms of  $n = v(G) + v(H)$ .*
- 2 *Any propagation-based arc consistency algorithm takes time  $\Omega(n^3)$ .*

## Proof-scheme

- A propagation-based algorithm  $\mapsto$  a winning strategy for Spoiler
- The time on input  $G, H \mapsto$  the **size** of the game tree
- In fact, it is enough to show that the optimum **depth** of the game tree, which is equal to  $D_{\exists,+}(G, H)$ , is large for some  $G$  and  $H$ .

### Lemma (constraint propagation can be slow)

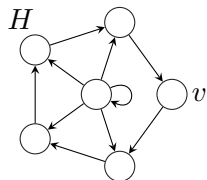
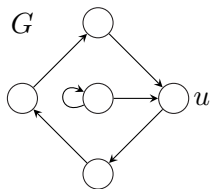
There are directed graphs  $G$  and  $H$  with  $v(G) = v(H) - 1 = n$  such that

- Spoiler wins the existential 2-pebble game on  $G$  and  $H$ ;
- Duplicator can resist in  $\Omega(n^2)$  rounds.

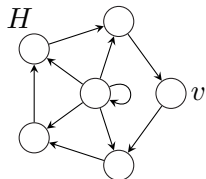
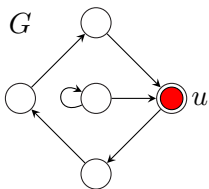
**Remark.**  $n^2 + 1$  rounds always suffice for Spoiler.



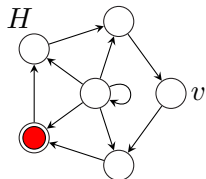
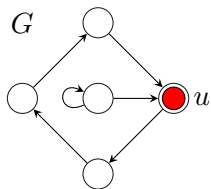
# An example of slow constraint propagation



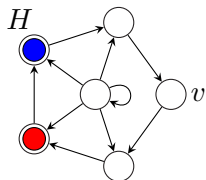
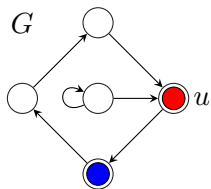
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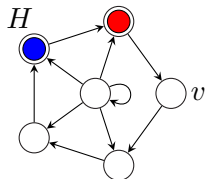
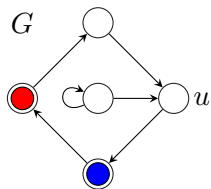
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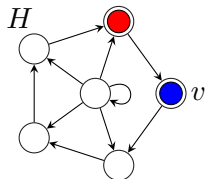
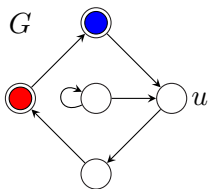
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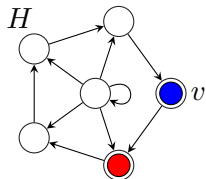
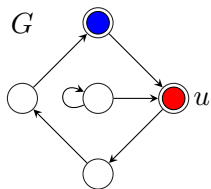
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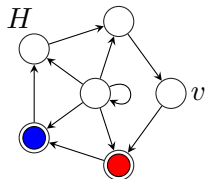
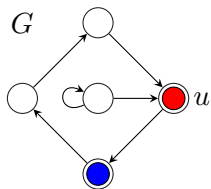
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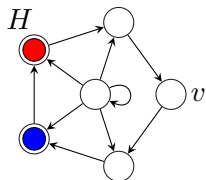
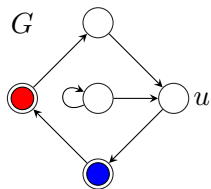


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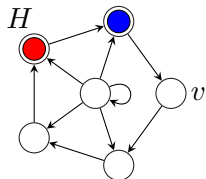
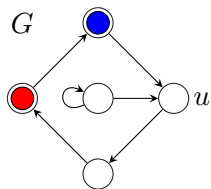




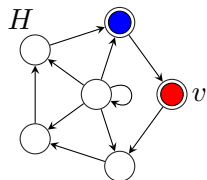
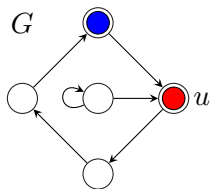
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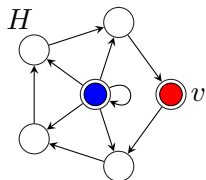
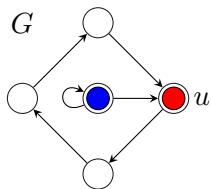
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### Open problem

Can Arc Consistency be solved faster than in time  $O(n^3)$  (by methods different from constraint propagation)?

## References

- T. Feder, M. Vardi. The computational structure of monotone monadic SNP and constraint satisfaction: A study through datalog and group theory. *SIAM Journal on Computing* 28:57–104 (1998).
- C. Berkholz. Lower bounds for existential pebble games and  $k$ -consistency tests. *LICS'12*.
- C. Berkholz, O. Verbitsky: On the speed of Constraint Propagation and the time complexity of Arc Consistency testing. *MFCS'13*.