

# ESSLLI Tutorial: Nonmonotonic Logic

Approaches based on Maximal Consistent Subsets

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1. Get familiar with approaches to nonmonotonic logic based on maximal consistent subsets

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1. Get familiar with approaches to nonmonotonic logic based on maximal consistent subsets
2. get familiar with or recall meta-theoretic principles that play an important role in nonmonotonic logic

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Some background

## ... as known from:

- Rescher / Manor consequence relations (Rescher and Manor (1970))
- Brewka's preferred subtheories (Brewka (1989))
- Benferhat, Dubious, Prade (Benferhat et al. (1997))
- Makinsons' Default Assumptions (Makinson (2003))
- Batens' Adaptive Logics and generalizations (Batens (2007); Straßer (2014); Van De Putte (2013))
- Constrained Input/Output logics (Makinson and Van Der Torre (2001))
- there are also close connections to argumentation-based methods (Amgoud and Besnard (2013))

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(non-defeasible) facts

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- e.g.,  $\Sigma_1$  stems from the most reliable (though fallible) source,  $\Sigma_2$  stems from the second most reliable (though fallible) source, etc.
- in Rescher/Manor consequence relations:  $\Sigma_0 = \emptyset$
- Makinsons's default assumptions:  $\Sigma_0$  may be non-empty

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# The Base Logic L with consequence relation $C_n$

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- **cut**: where  $\Gamma' \subseteq C_n(\Gamma)$  and  $A \in C_n(\Gamma \cup \Gamma')$ ,  $A \in C_n(\Gamma)$
- or, **transitivity**: where  $\Gamma' \subseteq C_n(\Gamma)$  and  $A \in C_n(\Gamma')$ ,  $A \in C_n(\Gamma)$ .

## Note

Given reflexivity and monotonicity, the following are equivalent:

- **cut**: where  $\Gamma' \subseteq \text{Cn}(\Gamma)$  and  $A \in \text{Cn}(\Gamma \cup \Gamma')$ ,  $A \in \text{Cn}(\Gamma)$
- **transitivity**: where  $\Gamma' \subseteq \text{Cn}(\Gamma)$  and  $A \in \text{Cn}(\Gamma')$ ,  $A \in \text{Cn}(\Gamma)$ .

### Proof.

Suppose  $\Gamma' \subseteq \text{Cn}(\Gamma)$ .

- $(\Rightarrow)$  Suppose  $A \in \text{Cn}(\Gamma')$ .
- By **Monotonicity**,  $A \in \text{Cn}(\Gamma \cup \Gamma')$ .
- By Cut,  $A \in \text{Cn}(\Gamma)$ .
- $(\Leftarrow)$  Suppose  $A \in \text{Cn}(\Gamma \cup \Gamma')$ .
- By **Reflexivity**,  $\Gamma \cup \Gamma' \subseteq \text{Cn}(\Gamma)$ .
- By Transitivity,  $A \in \text{Cn}(\Gamma)$ .



# (In)consistency

There are many notions of **inconsistency** of a set of formulas  $\Gamma$ , e.g.,

1.  $\Gamma \vdash \perp$
2.  $\Gamma \vdash p \wedge \neg p$  for a propositional atom  $p$
3.  $\Gamma \vdash A$  for all wff  $A$
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We call a set of formulas **consistent** if it is not inconsistent.

# Maximal Consistent Subsets

$\Xi$  is a *maximal consistent subset* of  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$  iff

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We write  $\text{MCS}(\Sigma)$  for the set of all maximal consistent subsets of  $\Sigma$ .

We write  $\text{CS}(\Sigma)$  for the set of all  $\Xi$  for which item 1 holds, i.e., for the set of all consistent subsets of  $\Sigma$ .

# Examples

Let  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$  where

- $\Sigma_0 = \{s\}$
- $\Sigma_d = \{s \supset (p \wedge q), p \wedge \neg q, r\}$



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## Existence of MCSs

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- Let  $\Sigma_d = \{A_1, \dots\}$ . Let  $\Xi' = \bigcup_{i \geq 0} \Xi_i$  where  $\Xi_0 = \Xi$

$$\Xi_{i+1} = \begin{cases} \Xi_i \cup \{A_{i+1}\} & \text{if } \Xi_i \cup \{A_{i+1}\} \cup \Sigma_0 \not\perp \\ \Xi_i & \text{else} \end{cases}$$

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- Assume  $\Xi' \cup \Sigma_0 \vdash \perp$ .
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  - Thus there is a  $\Xi_i \supseteq \Xi'_f$  and by **monotonicity**,  $\Xi_i \cup \Sigma_0 \vdash \perp$ ,—a contradiction.
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- Hence,  $\Xi' \cup \Sigma_0 \not\vdash \perp$ .
- Where  $A_i \notin \Xi'$ ,  $\Xi' \cup \{A_i\} \cup \Sigma_0 \vdash \perp$  since  $\Xi_{i-1} \cup \{A_i\} \cup \Sigma_0 \vdash \perp$  and by **monotonicity**.



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Defining Consequence Relations

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Here we put at use maximal consistent subsets.

## Three central consequence relations

Given  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ , we define:

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- Existential consequences:

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# Examples

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- $\langle \Sigma_0, \{s \supset (p \wedge q), p, \neg q, r\} \rangle \vdash_{\text{free}} p$

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Universal Consequences

- $\Gamma \vdash_{\forall} A$  iff  $\{s, r, p\} \vdash A$
- *floating conclusion: p*

## Question: Is there also some syntax-dependency for $\vdash_{\forall}$ ?

Take  $\Sigma' = \langle \emptyset, \{p \wedge q, \neg p\} \rangle$

- $\text{MCS}(\Sigma') = \{\{p \wedge q\}, \{\neg p\}\}$
- $\Sigma' \not\vdash_{\forall} q$



## Question: Is there also some syntax-dependency for $\vdash_V$ ?

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While, where  $\Sigma'' = \langle \emptyset, \{p, q, \neg p\} \rangle$

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Existential Consequences

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Existential Consequences

- $\Sigma \vdash_{\exists} A$  iff  $A \in \text{Cn}(\Xi_1 \cup \{s\}) \cup \text{Cn}(\Xi_2 \cup \{s\})$

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5. The free consequences are consistent.

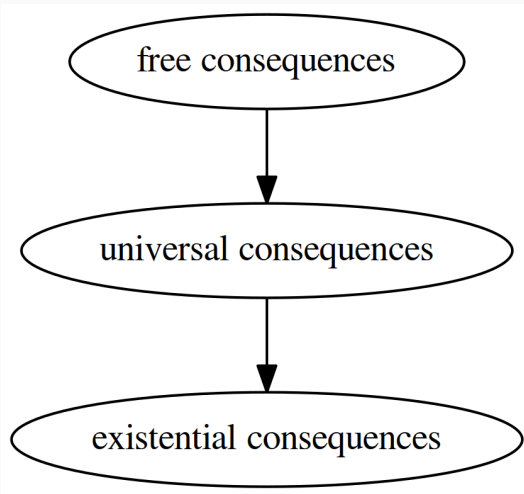


# Exercises

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4. The same holds for the universal consequences.
5. The free consequences are consistent.
6. So are the universal consequences.

## A hierarchy



## Nonmonotonic Logics based on Maximal Consistent Subsets

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What about stratified premise sets?

## Making use of Lexicographic Orders

- Let  $\langle \Sigma_0, \Sigma_d \rangle$  where  $\Sigma_d = \langle \Sigma_1, \Sigma_2, \dots \rangle$ .
- **Idea:** Order MCSs relative to the strength of their constituting premises where strength/reliability/etc. is indirectly proportional to the index  $\Sigma_j$ .

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## Lexicographic Ordering (e.g., Brewka (1989))

$\Xi \prec \Xi'$  iff there is an  $i \geq 1$  such that

1.  $\Xi \cap \Sigma_j = \Xi' \cap \Sigma_j$  for all  $1 \leq j < i$ , and
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## Consequence relation

... relative to  $\min_{\prec}(\text{MCS}(\Sigma))$

- $\Sigma \vdash_{\text{free}}^{\prec} A$  iff  $\bigcap \min_{\prec}(\text{MCS}(\Sigma)) \vdash A$
- $\Sigma \vdash_{\forall}^{\prec} A$  iff for all  $\Xi \in \min_{\prec}(\text{MCS}(\Sigma))$ ,  $\Xi \vdash A$
- $\Sigma \vdash_{\exists}^{\prec} A$  iff for some  $\Xi \in \min_{\prec}(\text{MCS}(\Sigma))$ ,  $\Xi \vdash A$

# Time for an example

## Lexicographic Ordering

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## Example

- $\Sigma_0 = \{s\}$
- $\Sigma_1 = \{s \supset p\}$
- $\Sigma_2 = \{\neg p, \neg q\}$
- $\Sigma_3 = \{q, r\}$

## MCSs

- $\Xi_1 = \{s \supset p, \neg q, r\}$
- $\Xi_2 = \{s \supset p, q, r\}$
- $\Xi_3 = \{\neg p, \neg q, r\}$
- $\Xi_4 = \{\neg p, q, r\}$

order:  $\Xi_1 \prec \Xi_2 \prec \Xi_3 \prec \Xi_4$

## Caution: Other orders may not be smooth!

Suppose we associate strength in direct proportionality to the index of  $\Sigma_i$  (e.g., premises in  $\Sigma_{i+1}$  are more reliable than premises in  $\Sigma_i$  ( $i \geq 1$ )).



## Caution: Other orders may not be smooth!

Suppose we associate strength in direct proportionality to the index of  $\Sigma_i$  (e.g., premises in  $\Sigma_{i+1}$  are more reliably than premises in  $\Sigma_i$  ( $i \geq 1$ )).

### Ordering

$\Xi \sqsubset \Xi'$  iff there is an  $i \geq 1$  such that

1.  $\Xi \cap \Sigma_j = \Xi' \cap \Sigma_j$  for all  $j > i$ , and
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# A problematic example

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## Example

- Take  $\Sigma = \langle \Sigma_0, \Sigma_1, \Sigma_2, \dots \rangle$   
where
- $\Sigma_0 = \{p_i \vee p_j \mid j > i \geq 1\}$
- $\Sigma_i = \{\neg p_i, s\}$  for each  $i \geq 1$

MCSs

# A problematic example

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- $\Sigma_i$  for each  $i \geq 1$

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## MCSs

- $\Sigma_i$  for each  $i \geq 1$

## Note

- $\min_{\sqsubseteq}(\text{MCS}(\Sigma)) = \emptyset$
- however, we would at least expect to derive  $s$

## Some Meta-Theory

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# Some Meta-Theory

---

Monotonicity & Co

# Monotonicity?

We can distinguish between (where  $\sim \in \{\vdash_{\forall}, \vdash_{\exists}, \vdash_{\text{free}}\}$ ):

## Monotonicity in the defeasible premises

If  $\langle \Sigma_0, \Sigma_d \rangle \sim A$  then  $\langle \Sigma_0, \Sigma_d \cup \Gamma \rangle \sim A$ .

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## Monotonicity in the factual premises

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash A$  then  $\langle \Sigma_0 \cup \Gamma, \Sigma_d \rangle \vdash A$ .



Can you think of a counter-example to  
monotonicity for our consequence relations?

Prove that monotonicity holds for existential consequences in the defeasible premises.

## Some Meta-Theory

---

Weakening Monotonicity

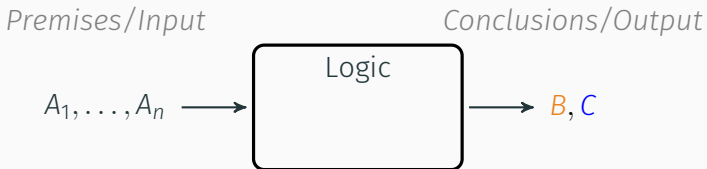
Recall that there are weakened forms of monotonicity, most prominently the following two principles ...

## Cautious Monotonicity

If  $A_1, \dots, A_n \vdash B$  and  $A_1, \dots, A_n \vdash C$ , then  $A_1, \dots, A_n, B \vdash C$ .

# Cautious Monotonicity

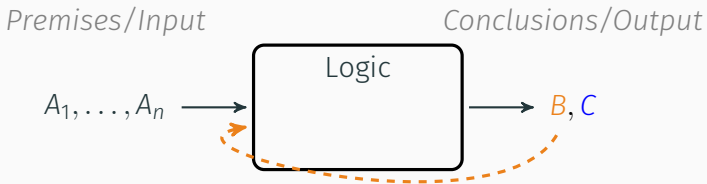
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“We do not lose conclusions if we plug in conclusions as additional input.”

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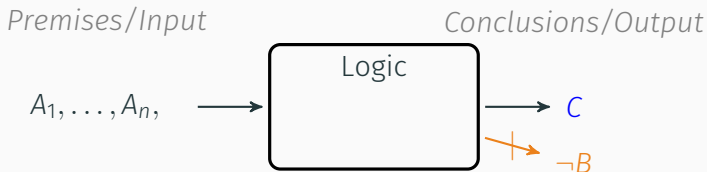
## Rational Monotonicity

If it is **not** the case that  $A_1, \dots, A_n \vdash \neg B$ , and moreover  
 $A_1, \dots, A_n \vdash C$ , then  $A_1, \dots, A_n, B \vdash C$ .



# Rational Monotonicity

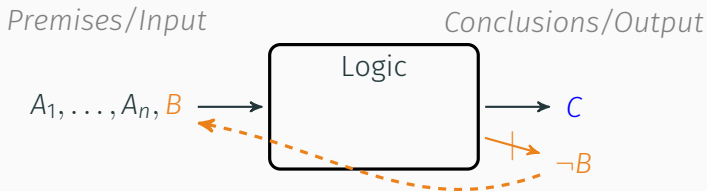
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“Our conclusions are robust under that addition of consistent information to the input.”

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## Some Meta-Theory

---

Cumulativity

We will treat

Cautious Monotonicity

If  $\Sigma \vdash A$  and  $\Sigma \vdash B$  then  $\Sigma \cup \{A\} \vdash B$ .

and


Cut

If  $\Sigma \vdash A$  and  $\Sigma \cup \{A\} \vdash B$  then  $\Sigma \vdash B$ .

at one single blow ...

If  $\Sigma \vdash A$  :  $\Sigma \vdash B$  iff  $\Sigma \cup \{A\} \vdash B$

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- Cautious Monotonicity

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## Cumulativity in defeasible premises:

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## Cumulativity in factual premises:

$$\text{If } \langle \Sigma_0, \Sigma_d \rangle \vdash A : \langle \Sigma_0, \Sigma_d \rangle \vdash B \text{ iff } \langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash B$$



## Some Meta-Theory

---

Cumulativity in the factual premises

For this, proving one central lemma will be sufficient ...

# A central lemma

Note that

**Cumulativity in the factual premises ( $\sim \in \{\vdash_{\forall}, \vdash_{\text{free}}\}$ )**

If  $\langle \Sigma_0, \Sigma_d \rangle \sim A : \langle \Sigma_0, \Sigma_d \rangle \sim B$  iff  $\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \sim B$

follows immediately with the following lemma:

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follows immediately with the following lemma:

**Lemma 1**

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ , then  $\text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) = \text{MCS}(\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle)$ .

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**(Simple) Exercise**

Try to prove that Cumulativity for the different consequence relations follows from Lemma 1.

## A central lemma (cont.)

### Lemma 1

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ , then  $\text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) = \text{MCS}(\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle)$ .

Proof of " $\subseteq$ ".

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### Proof of " $\subseteq$ ".

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$  and let  $(\dagger) \Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$ .

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## A central lemma (cont.)

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- Hence,  $\Sigma_0 \cup \{A\} \cup \Xi' \not\vdash \perp$  and hence  $\Sigma_0 \cup \Xi' \not\vdash \perp$  by **monotonicity**.

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- Hence,  $\Sigma_0 \cup \{A\} \cup \Xi' \not\perp$  and hence  $\Sigma_0 \cup \Xi' \not\perp$  by **monotonicity**.
- This contradicts that  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$ .

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- This contradicts that  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$ .
- Thus, by (1),  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$ .

## Exercise

Proof the other direction of Lemma 1.

## Some Meta-Theory

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Cumulativity in the defeasible premises

For this, proving one central lemma will be  
sufficient ...

(sounds familiar?)

## Lemma 2

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\forall} A$ ,

$\pi : \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \rightarrow \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ ,  $\Lambda \mapsto \Lambda \cup \{A\}$  is a bijection.



# A lemma central to Cumulativity

## Lemma 2

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## Proof.

We proceed in steps ...

First we exclude the trivial case ...

### Lemma 2

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### Proof (cont.)

If  $A \in \Sigma_d$  the lemma is trivial. Suppose thus that  $A \notin \Sigma_d$ .

Next, we should check whether  $\pi$  is well-defined.

# Is $\pi$ well-defined?

## Proof (cont.)

- Let  $\Xi \in \text{MCS}(\Sigma)$ .

## Is $\pi$ well-defined?

### Proof (cont.)

- Let  $\Xi \in \text{MCS}(\Sigma)$ .
- We show that  $\Xi \cup \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .

# Is $\pi$ well-defined?

## Proof (cont.)

- Let  $\Xi \in \text{MCS}(\Sigma)$ .
- We show that  $\Xi \cup \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .
- Note that  $\Xi \cup \Sigma_0 \vdash A$ . Thus, by **cut** and since  $\Xi \in \text{MCS}(\Sigma)$ ,

$$\Sigma_0 \cup \Xi \cup \{A\} \not\vdash \perp \quad (2)$$

# Is $\pi$ well-defined?

## Proof (cont.)

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- We show that  $\Xi \cup \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .
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- Assume for a contradiction that there is a  $\Xi' \supset \Xi \cup \{A\}$  such that  $\Xi' \in \text{MCS}(\Sigma_0, \Sigma_d \cup \{A\})$ .



# Is $\pi$ well-defined?

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- Assume for a contradiction that there is a  $\Xi' \supset \Xi \cup \{A\}$  such that  $\Xi' \in \text{MCS}(\Sigma_0, \Sigma_d \cup \{A\})$ .
- Thus,  $\Sigma_d \supseteq \Xi' \setminus \{A\} \supset \Xi$ .

# Is $\pi$ well-defined?

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# Is $\pi$ well-defined?

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- Since  $\Sigma_0 \cup (\Xi' \setminus \{A\}) \not\perp$  (by monotonicity), this is a contradiction to  $\Xi$  being in  $\text{MCS}(\Sigma)$ .
- Thus, the assumption is wrong and in view of (2),  $\Xi \cup \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .

Next, we show that  $\pi$  is surjective.  
(Recall, this means that every entity in the  
co-domain of  $\pi$  is in the image of  $\pi$ .)

# $\pi$ is surjective

## Proof (cont.)

We now show that  $\pi$  is surjective. Let for this  $(\ddagger)$   
 $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .

# $\pi$ is surjective

## Proof (cont.)

We now show that  $\pi$  is surjective. Let for this  $(\ddagger)$   
 $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .

- By **monotonicity** and since  $\Sigma_0 \cup \Xi \not\perp$ , also

$$\Sigma_0 \cup (\Xi \setminus \{A\}) \not\perp \quad (3)$$

# $\pi$ is surjective

## Proof (cont.)

We now show that  $\pi$  is surjective. Let for this ( $\ddagger$ )  
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# $\pi$ is surjective

## Proof (cont.)

We now show that  $\pi$  is surjective. Let for this ( $\ddagger$ )  
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- Assume for a contradiction that there is a  
 $\Xi' \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  such that  $\Xi \setminus \{A\} \subset \Xi' \subseteq \Sigma_d$ .
- By the supposition,  $\Sigma_0 \cup \Xi' \vdash A$  and hence  
 $\Sigma_0 \cup \Xi' \cup \{A\} \vdash \perp$ .



# $\pi$ is surjective

## Proof (cont.)

We now show that  $\pi$  is surjective. Let for this  $(\ddagger)$   
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 $\Sigma_0 \cup \Xi' \cup \{A\} \not\vdash \perp$ .
- This is a contradiction to  $(\ddagger)$  since  $\Xi \subset \Xi' \cup \{A\} \subseteq \Sigma_d \cup \{A\}$ .

# $\pi$ is surjective

## Proof (cont.)

We now show that  $\pi$  is surjective. Let for this  $(\ddagger)$   
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$$\Sigma_0 \cup (\Xi \setminus \{A\}) \not\vdash \perp \quad (3)$$

- Assume for a contradiction that there is a  $\Xi' \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  such that  $\Xi \setminus \{A\} \subset \Xi' \subseteq \Sigma_d$ .
- By the supposition,  $\Sigma_0 \cup \Xi' \vdash A$  and hence  $\Sigma_0 \cup \Xi' \cup \{A\} \not\vdash \perp$ .
- This is a contradiction to  $(\ddagger)$  since  $\Xi \subset \Xi' \cup \{A\} \subseteq \Sigma_d \cup \{A\}$ .
- Since our assumption is wrong and by (3),  $\Xi \setminus \{A\} \in \text{MCS}(\Sigma)$ .

What is left, is to show that  $\pi$  is injective.  
Fortunately, this is easy ...

## Proof (cont.)

That  $\pi$  is injective is trivial in view of the supposition that  $A \notin \Sigma_d$ . □

So, how does this help in proving Cumulativity?  
Let's see and start with  $\vdash_{\forall}$  ...

## Theorem 3

Where  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  iff  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .

We again proceed in steps:

1. we exclude a trivial case
2. we proof the ( $\Rightarrow$ )-direction
3. we proof the ( $\Leftarrow$ )-direction

This will all be pretty straight-forward, given our lemma.

## Cumulativity (cont.): excluded a trivial case

### Proof.

In case  $A \in \Sigma_d$  the proposition is trivial. Suppose thus that  $A \notin \Sigma_d$ .

## Cumulativity (cont.): the ( $\Rightarrow$ )-direction

**Proof.**

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ .

- Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .



## Cumulativity (cont.): the ( $\Rightarrow$ )-direction

### Proof.

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ .

- Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .
- To show:  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .

## Cumulativity (cont.): the ( $\Rightarrow$ )-direction

### Proof.

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ .

- Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .
- To show:  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .
- Let  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .

## Cumulativity (cont.): the ( $\Rightarrow$ )-direction

### Proof.

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ .

- Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .
- To show:  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .
- Let  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .
- Hence, by our [Lemma 2](#),  $\Xi \setminus \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  and thus  $\Sigma_0 \cup (\Xi \setminus \{A\}) \vdash B$ .

## Cumulativity (cont.): the ( $\Rightarrow$ )-direction

### Proof.

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ .

- Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .
- To show:  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .
- Let  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .
- Hence, by our **Lemma 2**,  $\Xi \setminus \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  and thus  $\Sigma_0 \cup (\Xi \setminus \{A\}) \vdash B$ .
- By **Monotonicity**,  $\Sigma_0 \cup \Xi \vdash B$ .

## Cumulativity (cont.): the ( $\Leftarrow$ )-direction

### Proof.

- Suppose  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .
- Let  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$ .
- Hence, by our **Lemma 2**,  $\Xi \cup \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  and  $\Sigma_0 \cup \Xi \vdash A$ .
- Also,  $\Sigma_0 \cup \Xi \cup \{A\} \vdash B$ .
- By **cut**,  $\Sigma_0 \cup \Xi \vdash B$ .
- Thus,  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .



## Exercise: Cumulativity for the Free Consequences

Note that with Lemma 2 the following follows immediately:

### Lemma 4

Where  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ ,

$$\bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle) = \bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \cup \{A\}$$

### Exercise

Try to prove the following two theorems:

### Theorem 5

Where  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} A$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} B$  iff

$\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\text{free}} B$ .

### Theorem 6

Where  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} B$  iff  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\text{free}} B$ .

## Some Meta-Theory

---

A stronger form of Cautious Monotonicity

Interestingly, we can strengthen our previous result by adjusting our lemma a bit ...



# A strengthened Lemma

Recall our lemma:

## Lemma 2

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\forall} A$ ,

$\pi : \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \rightarrow \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ ,  $\Lambda \mapsto \Lambda \cup \{A\}$  is a bijection.

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we're talking about this bit

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Do you have an idea how to weaken the antecedent of this lemma?

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Do you have an idea how to weaken the antecedent of this lemma?

## Lemma 7

Where  $\Sigma \not\vdash_{\exists} \neg A$ ,

$\pi : \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \rightarrow \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ ,  $\Lambda \mapsto \Lambda \cup \{A\}$  is a bijection.

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Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\forall} A$ ,

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Do you have an idea how to weaken the antecedent of this lemma?

*here we suppose to have a classical negation*

Where  $\Sigma \not\vdash_{\exists} \neg A$ ,

$\pi : \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \rightarrow \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ ,  $\Lambda \mapsto \Lambda \cup \{A\}$  is a bijection.

Adjust the proof of Lemma 2 to prove Lemma 7.

## A stronger form of Cautious Monotonicity

Our lemma immediately gives rise to the following theorem.

### Theorem 8

Where  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\exists} \neg A$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  implies  
 $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ .

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## Proof.

Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A$   $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\exists} \neg A$ .

- Suppose  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$ .
- Let  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$ .
- Hence, by our **Lemma 7**,  $\Xi \setminus \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  and thus  $\Sigma_0 \cup (\Xi \setminus \{A\}) \vdash B$ .
- By **Monotonicity**,  $\Sigma_0 \cup \Xi \vdash B$ .

Wait a moment, doesn't  $\Sigma \not\vdash_{\exists} \neg A$  imply  $\Sigma \vdash_{\forall} A$   
and are we not back to where we started?



# Example

Here's an example to show that  $\Sigma \not\vdash_{\exists} \neg A$  **doesn't imply**  $\Sigma \vdash_{\forall} A$ .

## Example

- Take  $\Sigma = \langle \emptyset, \emptyset \rangle$ .
- We have one MCS:  $\emptyset$
- Clearly,
  - $\Sigma \not\vdash_{\exists} \neg p$
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- Take  $\Sigma = \langle \emptyset, \emptyset \rangle$ .
- We have one MCS:  $\emptyset$
- Clearly,
  - $\Sigma \not\vdash_{\exists} \neg p$
  - $\Sigma \not\vdash_{\forall} p$

## Simple exercise

Show that  $\Sigma \vdash_{\forall} A$  implies  $\Sigma \not\vdash_{\exists} \neg A$ .

## Some Meta-Theory

---

What about Rational Monotonicity?

Our strengthened Cautious Monotonicity is quite similar to Rational Monotonicity ...

# Theorem 8 and Rational Monotonicity

## Theorem 8

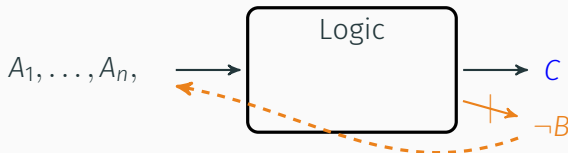
Where  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash \exists \neg B$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} C$  implies  
 $\langle \Sigma_0, \Sigma_d \cup \{B\} \rangle \vdash_{\forall} C$ .

Recall our general form of Rational Monotonicity:

If it is not the case that  $A_1, \dots, A_n \vdash \neg B$ , and moreover  
 $A_1, \dots, A_n \vdash C$ , then  $A_1, \dots, A_n, B \vdash C$ .

*Premises/Input*

*Conclusions/Output*



# Theorem 8 and Rational Monotonicity

## Theorem 8

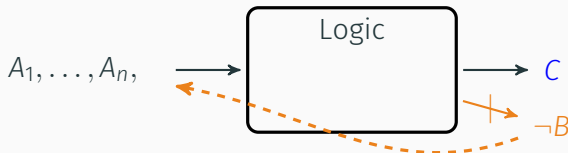
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*Premises/Input*

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# Theorem 8 and Rational Monotonicity

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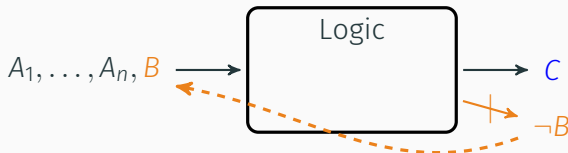
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*Premises/Input*

*Conclusions/Output*



Should we be optimistic about Rational  
Monotonicity in view of this?



## Two forms of Rational Monotonicity (relative to $\vdash_{\forall}$ )

### Rational Monotonicity in the defeasible premises

Where  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg B$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} C$  implies  
 $\langle \Sigma_0, \Sigma_d \cup \{B\} \rangle \vdash_{\forall} C$ .

### Rational Monotonicity in the factual premises

Where  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg B$ :  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} C$  implies  
 $\langle \Sigma_0 \cup \{B\}, \Sigma_d \rangle \vdash_{\forall} C$ .

## Negative result for defeasible premises

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  and  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg A$  then  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ ?

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- **Nope!** Take:
- $\Sigma_0 = \emptyset$
- $\Sigma_d = \{r, p \wedge q \wedge \neg r, (p \wedge r) \supset \neg q, \neg p \wedge q\}$
- $A = p$  and  $B = q$

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If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  and  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg A$  then  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ ?

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- $A = p$  and  $B = q$
- $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} q$
- $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg p$
- **but**  $\langle \Sigma_0, \Sigma_d \cup \{p\} \rangle \not\vdash_{\forall} q$ .
- Do you see why?

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If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  and  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg A$  then  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$ ?

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MCS( $\langle \Sigma_0, \Sigma_d \rangle$ ) =

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# Negative result for defeasible premises

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2.  $\{(p \wedge r) \supset \neg q, p \wedge q \wedge \neg r, p\}$
3.  $\{r, p, (p \wedge r) \supset \neg q\}$



Also Rational Monotonicity for factual premises doesn't work out. We can use the same example to demonstrate this.

## Negative result for factual premises

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  and  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg A$  then  $\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\forall} B$ ?

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## Negative result for factual premises

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# Negative result for factual premises

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  and  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg A$  then  $\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\forall} B$ ?

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- $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} q$
- $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg p$
- **but**  $\langle \Sigma_0 \cup \{p\}, \Sigma_d \rangle \not\vdash_{\forall} q$ .
- Do you see why?

$\text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) =$

1.  $\{(p \wedge r) \supset \neg q, r, \neg p \wedge q\}$
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# Negative result for factual premises

If  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} B$  and  $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\forall} \neg A$  then  $\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\forall} B$ ?

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- $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} q$
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$\text{MCS}(\langle \Sigma_0 \cup \{p\}, \Sigma_d \rangle) =$

1.  $\{r, (p \wedge r) \supset \neg q\}$
2.  $\{p \wedge q \wedge \neg r, (p \wedge r) \supset \neg q\}$



Find similar counter-examples for Rational Monotonicity and  $\vdash_{\text{free}}$ .

# Some Meta-Theory

---

## The Resolution Theorem

## Two versions:

Where  $\vdash \in \{\vdash_V, \vdash_{\text{free}}\}$  and we have an implication  $\supset$  in our formal language,

### The Resolution Theorem in the factual premises

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash B$  if  $\langle \Sigma_0, \Sigma_d \rangle \vdash A \supset B$ .

### The Resolution Theorem in the defeasible premises

$\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash B$  if  $\langle \Sigma_0, \Sigma_d \rangle \vdash A \supset B$ .

What do you expect?

Given the centrality of this result in Classical Logic, do we get it in the nonmonotonic case (maybe at least where the base logic is Classical Logic)?

# A negative result for the case of defeasible premises

Where  $\vdash \in \{\vdash_{\forall}, \vdash_{\text{free}}\}$  and we have an implication  $\supset$  in our formal language, the following does **not** hold (in general):

## The Resolution Theorem in the defeasible premises

$\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash B$  if  $\langle \Sigma_0, \Sigma_d \rangle \vdash A \supset B$ .

## Counter-Example

- Consider  $\Sigma = \langle \emptyset, \{\neg p\} \rangle$ ,  $A = p$  and  $B = q$ .
- Then  $\Sigma \vdash p \supset q$  while  $\langle \emptyset, \{\neg p, p\} \rangle \not\vdash q$ .

# A negative result for the case of factual premises

Where  $\vdash \in \{\vdash_{\forall}, \vdash_{\text{free}}\}$  and we have an implication  $\supset$  in our formal language, the following does **not** hold:

## The Resolution Theorem in the factual premises

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash B$  if  $\langle \Sigma_0, \Sigma_d \rangle \vdash A \supset B$ .

## Counter-Example

- Take  $\Sigma = \langle \emptyset, \{\neg p\} \rangle$  and  $\Sigma^+ = \langle \{p\}, \{\neg p\} \rangle$ .
- Then  $\Sigma \vdash p \supset q$  while  $\Sigma^+ \not\vdash q$ .

## Some Meta-Theory

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### The Deduction Theorem

## Two versions:

Where  $\vdash \in \{\vdash_V, \vdash_{\text{free}}\}$  and we have an implication  $\supset$  in our formal language,

### The deduction theorem in the factual premises

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash A \supset B$ .

### The deduction theorem in the defeasible premises

$\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash A \supset B$ .



What do you think? We all know this is a central property in classical logic. It should hold here as well, at least if the base logic is classical, right?

## The free case: a negative result for factual premises

We now give a counter-example to the following principle:

**The deduction theorem in the factual premises**

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\text{free}} B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} A \supset B$ .

# The free case: a negative result for factual premises

We now give a counter-example to the following principle:

## The deduction theorem in the factual premises

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\text{free}} B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} A \supset B$ .

Let

- $\Sigma_0 = \{p \vee q, r \vee q\}$
- $\Sigma_d = \{\neg p, \neg q\}$

We have

- $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\text{free}} p \supset r$  while
- $\langle \Sigma_0 \cup \{p\}, \Sigma_d \rangle \vdash_{\text{free}} r$

# The free case: a negative result for factual premises

We now give a counter-example to the following principle:

## The deduction theorem in the factual premises

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\text{free}} B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} A \supset B$ .

Let

- $\Sigma_0 = \{p \vee q, r \vee q\}$
- $\Sigma_d = \{\neg p, \neg q\}$

We have

- $\langle \Sigma_0, \Sigma_d \rangle \not\vdash_{\text{free}} p \supset r$  while
- $\langle \Sigma_0 \cup \{p\}, \Sigma_d \rangle \vdash_{\text{free}} r$

To see this notice that

- $\text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) = \{\{\neg p\}, \{\neg q\}\}$
- $\text{MCS}(\langle \Sigma_0 \cup \{p\}, \Sigma_d \rangle) = \{\{\neg q\}\}$

Hm, so what's your guess for the universal consequence: do we get the Deduction Theorem there?

# A useful and simple lemma

## Lemma 9

*If  $\Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$  and  $\Sigma_0 \cup \Xi \cup \{A\} \not\perp$  then  $\Xi \in \text{MCS}(\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle)$ .*

## Exercise

Try to prove this.

# Deduction Theorem: the universal case in the factual premises

We now suppose the Deduction Theorem holds for the Base Logic  $L$  and prove:

## The deduction theorem in the factual premises

$\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\forall} B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A \supset B$ .

### Proof.

- Suppose  $\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle \vdash_{\forall} B$ .
- Let  $\Xi \in \text{MCS}(\Sigma_0, \Sigma_d)$ .
- If  $\Sigma_0 \cup \Xi \cup \{A\} \vdash \perp$  also  $\Sigma_0 \cup \Xi \cup \{A\} \vdash B$  by **explosion** and **transitivity**. By the **deduction theorem**,  $\Sigma_0 \cup \Xi \vdash A \supset B$ .
- If  $\Sigma_0 \cup \Xi \cup \{A\} \not\vdash \perp$  then by Lemma 9,  $\Xi \in \text{MCS}(\langle \Sigma_0 \cup \{A\}, \Sigma_d \rangle)$  and thus  $\Sigma_0 \cup \Xi \vdash B$ . By **monotonicity**,  $\Sigma_0 \cup \Xi \cup \{A\} \vdash B$ . By the **deduction theorem**,  $\Sigma_0 \cup \Xi \vdash A \supset B$ .

This is an interesting asymmetry between the two consequence relations: while the universal one validates the Deduction Theorem (in the factual premises), the free one doesn't.



Should we expect the same behavior for the  
Deduction Theorem in the defeasible  
premises?

Well, here's the surprise ...

# Deduction Theorem for defeasible premises, Free Consequences

We now suppose the Deduction Theorem holds for the Base Logic  $L$  and prove:

## The deduction theorem in the defeasible premises

$\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\text{free}} B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\text{free}} A \supset B$ .

### Proof.

- Suppose  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\text{free}} B$  and hence  $\Sigma_0 \cup \bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle) \vdash B$ .
- It is easy to see that

$$\bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle) \subseteq \bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \cup \{A\} \quad (4)$$

- By **monotonicity**,  $\Sigma_0 \cup \bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \cup \{A\} \vdash B$  and by the **deduction theorem**,  $\Sigma_0 \cup \bigcap \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) \vdash A \supset B$ .

## Exercise

Prove (4) in the proof above.

# Deduction Thm for defeasible premises, Universal Consequences

We now suppose the Deduction Theorem holds for the Base Logic  $L$  and prove:

## The deduction theorem in the defeasible premises

$\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$  implies  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A \supset B$ .

### Proof.

- Suppose  $\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle \vdash_{\forall} B$  and let  $\Xi \in \text{MCS}(\Sigma_0, \Sigma_d)$ .
- We have two case: (1)  $\Xi \cup \{A\} \in \text{MCS}(\langle \Sigma_0, \Sigma_d \cup \{A\} \rangle)$  or (2)  $\Xi \in \text{MCS}(\Sigma_0, \Sigma_d \cup \{A\})$  and  $\Xi \cup \Sigma_0 \cup \{A\} \vdash \perp$  and thus  $\Xi \cup \Sigma_0 \cup \{A\} \vdash B$  (by  $\perp$ -explosion (!) and **transitivity**).
  1. Then by the supposition  $\Sigma_0 \cup \Xi \cup \{A\} \vdash B$  and hence  $\Sigma_0 \cup \Xi \vdash A \supset B$  by the **deduction theorem**.
  2.  $\Sigma_0 \cup \Xi \vdash A \supset B$  by the **deduction theorem**.
- Thus,  $\Sigma_0 \cup \Xi \vdash A \supset B$  and  $\langle \Sigma_0, \Sigma_d \rangle \vdash_{\forall} A \supset B$ .

## Some Meta-Theory

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Summing up

OK, this gets a bit exhausting ... maybe time to  
sum this up!

# An overview

	$\vdash_{\text{free}}$	$\vdash_{\forall}$
Ded.Thm. (factual)		✓
Ded.Thm. (defeasible)	✓	✓
Res.Thm (factual)		
Res.Thm (defeasible)		
CM (factual)	✓	✓
CM (defeasible)	✓	✓
Cut (factual)	✓	✓
Cut (defeasible)	✓	✓
RM (factual)		
RM (defeasible)		



# From MCSs to Selection Semantics

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# From MCSs to Selection Semantics

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Some basic definitions and suppositions

We now suppose that L has an adequate semantics with no inconsistent models.

We now suppose that L has an **adequate semantics** with no inconsistent models.

- $\Sigma \vdash A$  iff  $\Sigma \Vdash A$  (where  $\Sigma \Vdash A$  iff for all  $M \in \mathcal{M}(\Sigma), M \models A$ )

We now suppose that L has an **adequate semantics** with **no inconsistent models**.

- $\Sigma \vdash A$  iff  $\Sigma \Vdash A$  (where  $\Sigma \Vdash A$  iff for all  $M \in \mathcal{M}(\Sigma), M \models A$ )
- $\mathcal{M}(\{\perp\}) = \emptyset$

## Definition 10

Given  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,

- where  $M \in \mathcal{M}(\Sigma_0)$ , its defeasible part  $d(M)$  is  $\{A \in \Sigma_d \mid M \models A\}$

## Definition 10

Given  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,

- where  $M \in \mathcal{M}(\Sigma_0)$ , its defeasible part  $d(M)$  is  $\{A \in \Sigma_d \mid M \models A\}$
- $\mathcal{M}^m(\Sigma) = \{M \in \mathcal{M}(\Sigma_0) \mid \text{there are no } M' \in \mathcal{M}(\Sigma_0) \text{ for which } d(M) \subset d(M')\}$

## Two central lemmas

### Lemma 11

$\Sigma_0 \cup \Xi$  is consistent iff  $\mathcal{M}(\Sigma_0 \cup \Xi) \neq \emptyset$

### Proof.

- $\Sigma_0 \cup \Xi$  is inconsistent iff
- $\Sigma_0 \cup \Xi \vdash \perp$  iff
- $\Sigma_0 \cup \Xi \Vdash \perp$  iff
- $\mathcal{M}(\Sigma_0 \cup \Xi) = \emptyset$





## Two central lemmas (cont.)

### Lemma 12

$$\text{MCS}(\langle \Sigma_0, \Sigma_d \rangle) = \{d(M) \mid M \in \mathcal{M}^m(\langle \Sigma_0, \Sigma_d \rangle)\}$$

### Proof.

- ( $\subseteq$ ) Suppose  $(\dagger) \Xi \in \text{MCS}(\langle \Sigma_0, \Sigma_d \rangle)$ .
- Assume there is no  $M \in \mathcal{M}(\Sigma_0)$  for which  $d(M) \supseteq \Xi$ .
- Hence,  $\mathcal{M}(\Sigma_0 \cup \Xi) = \emptyset$  and thus  $\Sigma_0 \cup \Xi \Vdash \perp$ .
- Since then  $\Sigma_0 \cup \Xi \vdash \perp$ : contradiction to  $(\dagger)$ .
- Assume there is a  $M \in \mathcal{M}(\Sigma_0)$  for which  $d(M) \supset \Xi$ .
- Then,  $\mathcal{M}(\Sigma_0 \cup d(M)) \neq \emptyset$  and hence  $\Sigma_0 \cup d(M) \not\vdash \perp$ .
- Since then  $\Sigma_0 \cup d(M) \not\vdash \perp$ : contradiction to  $(\dagger)$ .



Prove the other direction of Lemma 12.

## From MCSs to Selection Semantics

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A selection semantics for the universal  
consequence

## Definition 13

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \Vdash_m A$  iff for all  $M \in \mathcal{M}^m(\Sigma)$ ,  $M \models A$ .

# Soundness and Completeness

## Theorem 14

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\forall} A$  iff  $\Sigma \Vdash_m A$

## Proof.

- $\Sigma \vdash_{\forall} A$ , iff
- for all  $\Xi \in \text{MCS}(\Sigma)$ ,  $\Sigma_0 \cup \Xi \vdash A$ , iff
- for all  $\Xi \in \text{MCS}(\Sigma)$ ,  $\Sigma_0 \cup \Xi \Vdash A$ , iff
- for all  $\Xi \in \text{MCS}(\Sigma)$  and for all  $M \in \mathcal{M}(\Sigma_0 \cup \Xi)$ ,  $M \models A$ , iff
- for all  $M \in \mathcal{M}^m(\Sigma)$ ,  $M \models A$ , (here we use Lemma 12) iff
- $\Sigma \Vdash_m A$ .



## From MCSs to Selection Semantics

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A selection semantics for the free  
consequence

## Definition 15

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,

- $\mathcal{M}^f(\Sigma) = \{M \in \mathcal{M}(\Sigma_0) \mid d(M) \supseteq \bigcap \{d(M') \mid M' \in \mathcal{M}^m(\Sigma)\}\}$ .
- $\Sigma \Vdash_f A$  iff for all  $M \in \mathcal{M}^f(\Sigma)$ ,  $M \models A$

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

•  $\Sigma \vdash_{\text{free}} A$ , iff



# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \vdash A$ , iff

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \Vdash A$ , iff

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \Vdash A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0 \cup \bigcap \text{MCS}(\Sigma))$ ,  $M \models A$ , iff

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \Vdash A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0 \cup \bigcap \text{MCS}(\Sigma))$ ,  $M \models A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0)$  such that  $d(M) \supseteq \bigcap \text{MCS}(\Sigma)$ ,  $M \models A$ , iff

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \Vdash A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0 \cup \bigcap \text{MCS}(\Sigma))$ ,  $M \models A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0)$  such that  $d(M) \supseteq \bigcap \text{MCS}(\Sigma)$ ,  $M \models A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0)$  such that  $d(M) \supseteq \bigcap \{d(M') \mid M' \in \mathcal{M}^m(\Sigma)\}$ ,  $M \models A$ , iff

# Soundness and Completeness

## Theorem 16

Where  $\Sigma = \langle \Sigma_0, \Sigma_d \rangle$ ,  $\Sigma \vdash_{\text{free}} A$  iff  $\Sigma \Vdash_f A$

## Proof.

- $\Sigma \vdash_{\text{free}} A$ , iff
- $\Sigma_0 \cup \text{Free}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \vdash A$ , iff
- $\Sigma_0 \cup \bigcap \text{MCS}(\Sigma) \Vdash A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0 \cup \bigcap \text{MCS}(\Sigma))$ ,  $M \models A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0)$  such that  $d(M) \supseteq \bigcap \text{MCS}(\Sigma)$ ,  $M \models A$ , iff
- for all  $M \in \mathcal{M}(\Sigma_0)$  such that  $d(M) \supseteq \bigcap \{d(M') \mid M' \in \mathcal{M}^m(\Sigma)\}$ ,  $M \models A$ , iff
- $\Sigma \Vdash_f A$ .

# Constrained Input/Output Logic

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# Constrained Input/Output Logic

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Input/Output Logic (Derivational  
Perspective)

# The setup

- We have a set of input formulas  $\Sigma_0$  in some propositional language  $\mathcal{L}$
- And a set of Input/Output pairs  $\Sigma_{i0}$  of the form  $(A, B)$  where  $A, B \in \mathcal{L}$
- Think of them as conditionals or rules to which Modus Ponens should be applied

# Input/Output Logic

Each Input/Output logic  $L(\mathcal{R})$  is based on a base logic  $L$  and comes with a set of rules  $\mathcal{R}$  on the elements in  $\Sigma_{i_0}$ . Here are some examples:

- **WO**: If  $A \vdash C$ , then from  $(B, A)$  derive  $(B, C)$ .
- **SI**: If  $C \vdash A$ , then from  $(A, B)$  derive  $(C, B)$ .
- **AND**: From  $(A, B)$  and  $(A, C)$  derive  $(A, B \wedge C)$ .
- **OR**: From  $(B, A)$  and  $(C, A)$  derive  $(B \vee C, A)$ .
- **CT**: From  $(A, B)$  and  $(A \wedge B, C)$  derive  $(A, C)$ .
- **ID**:  $(A, A)$
- **T**:  $(\top, \top)$

## Definition 17

Where  $\Sigma_{i_0}^{\mathcal{R}}$  is the set of all  $(A, B)$  derivable from  $\Sigma_0$  via the rules in  $\mathcal{R}$ ,

$$\text{out}_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle) = \{A \mid \exists (B, A) \in \Sigma_{i_0}^{\mathcal{R}}, \exists B \in \text{Cn}(\Sigma_0)\}.$$

# Constrained Input/Output Logic

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Constrained Input/Output Logic

## Example

- Suppose  $\Sigma_0 = \{p, q\}$ ,
- $\Sigma_{i_0} = \{(p, \neg q)\}$ ,
- and we have (at least) the rules ID, AGG, WO, SI in  $\mathcal{R}$ 
  - via ID we have  $(q, q)$  and via SI  $(p \wedge q, q)$
  - via SI we have  $(p \wedge q, \neg q)$ .
  - via AGG,  $(p \wedge q, q \wedge \neg q)$  and via WO  $(p \wedge q, A)$  for any  $A$
- Then  $out_{CL(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle)$  is trivial.

# Solution: “go MCS”

The idea is analogous to what we had before:

- premises in  $\Sigma_0$  are strict
- IO-pairs in  $\Sigma_{i_0}$  are defeasible
- $\Xi_{i_0} \subseteq \Sigma_{i_0}$  is a maximal consistent subset of  $\langle \Sigma_0, \Sigma_{i_0} \rangle$  iff
  1.  $out_{L(\mathcal{R})}(\Sigma_0, \Xi_{i_0})$  is consistent
  2. there is no  $\Xi'_{i_0} \subseteq \Sigma_{i_0}$  such that  $out_{L(\mathcal{R})}(\Sigma_0, \Xi'_{i_0})$  is consistent and  $\Xi_{i_0} \subset \Xi'_{i_0}$ .
- We write  $\Xi_{i_0} \in MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle)$ .

## Definition 18

- $out_{L(\mathcal{R})}^{\forall}(\langle \Sigma_0, \Sigma_{i_0} \rangle) = \bigcap \{out_{L(\mathcal{R})}(\langle \Sigma_0, \Xi_{i_0} \rangle) \mid \Xi_{i_0} \in MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle)\}$ .
- $out_{L(\mathcal{R})}^{\exists}(\langle \Sigma_0, \Sigma_{i_0} \rangle) = \bigcup \{out_{L(\mathcal{R})}(\langle \Sigma_0, \Xi_{i_0} \rangle) \mid \Xi_{i_0} \in MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle)\}$ .

## Examples!

First our previous example where  $\Sigma_0 = \{p, q\}$  and  $\Sigma_{i_0} = \{(p, \neg q)\}$  and we had (at least) the rules ID, AGG, WO, SI in  $\mathcal{R}$ .

- As we saw,  $out_{CL(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle)$  is trivial.
- Thus, the only member of  $MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{i_0} \rangle)$  is  $\emptyset$ .
- This means that  $out_{L(\mathcal{R})}^{\forall}(\Sigma_0, \Sigma_{i_0}) = out_{L(\mathcal{R})}(\Sigma_0, \emptyset)$ .
- Which of the following are consequences?
  1.  $p$
  2.  $q$
  3.  $\top$
  4.  $p \wedge q$

## Another example

- Let  $\Sigma_0 = \{p, s\}$  and
- $\Sigma_{i_0} = \{(p, q), (s, \neg q), (p, r)\}$
- Let  $\mathcal{R}$  contain WO, SI, AGG.
- our  $\text{MCS}(\langle \Sigma_0, \Sigma_{i_0} \rangle)$  has two members:
  1.  $\{(p, q), (p, r)\}$
  2.  $\{(s, \neg q), (p, r)\}$
- This means e.g.,  $r \in \text{out}_{\mathcal{L}(\mathcal{R})}^{\forall}(\langle \Sigma_0, \Sigma_{i_0} \rangle)$ .



But where are the **constraints** in “**Constrained**  
Input/Output Logic”?

# The full picture

The idea is analogous to what we had before:

- premises in  $\Sigma_0$  are strict
- IO-pairs in  $\Sigma_{io}$  are defeasible
- $\Sigma_c$ , a set of formulas in  $\mathcal{L}$ , represent constraints
- $\Xi_{io} \subseteq \Sigma_{io}$  is a maximal consistent subset of  $\langle \Sigma_0, \Sigma_{io}, \Sigma_c \rangle$  iff
  1.  $out_{L(\mathcal{R})}(\Sigma_0, \Xi_{io}) \cup \Sigma_c$  is consistent
  2. there is no  $\Xi'_{io} \subseteq \Sigma_{io}$  such that  $out_{L(\mathcal{R})}(\Sigma_0, \Xi'_{io}) \cup \Sigma_c$  is consistent and  $\Xi_{io} \subset \Xi'_{io}$ .
- We write  $\Xi_{io} \in MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{io}, \Sigma_c \rangle)$ .

## Definition 19

- $out_{L(\mathcal{R})}^{\forall}(\langle \Sigma_0, \Sigma_{io}, \Sigma_c \rangle) = \bigcap \{out_{L(\mathcal{R})}(\langle \Sigma_0, \Xi_{io} \rangle) \mid \Xi_{io} \in MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{io}, \Sigma_c \rangle)\}$ .
- $out_{L(\mathcal{R})}^{\exists}(\langle \Sigma_0, \Sigma_{io}, \Sigma_c \rangle) = \bigcup \{out_{L(\mathcal{R})}(\langle \Sigma_0, \Xi_{io} \rangle) \mid \Xi_{io} \in MCS_{L(\mathcal{R})}(\langle \Sigma_0, \Sigma_{io}, \Sigma_c \rangle)\}$ .

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