

# A logical approach to Isomorphism Testing and Constraint Satisfaction

Oleg Verbitsky

Humboldt University of Berlin, Germany

ESLLI 2016, 15–19 August

Part 4:  $\text{FO}_{\#}^2$  and linear-programming techniques.

# Outline

- 1 The graph canonization problem
- 2 Fractional isomorphism and compactness
- 3  $\text{FO}_{\#}^2$ -definable graphs are compact
- 4 Tinhofer's canonization algorithm
- 5 References

# Outline

- 1 The graph canonization problem
- 2 Fractional isomorphism and compactness
- 3  $\text{FO}_{\#}^2$ -definable graphs are compact
- 4 Tinhofer's canonization algorithm
- 5 References

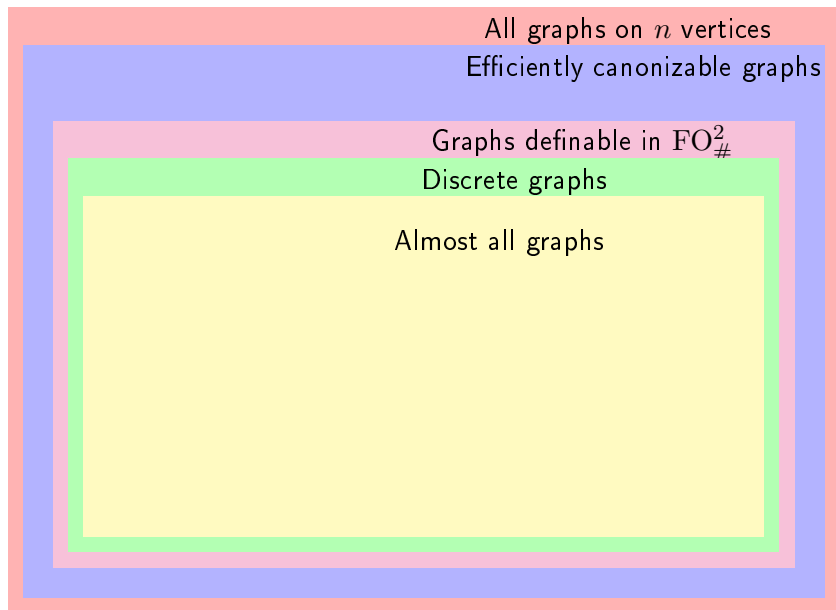
# Canonizing almost all graphs

All graphs on  $n$  vertices  
Efficiently canonizable graphs

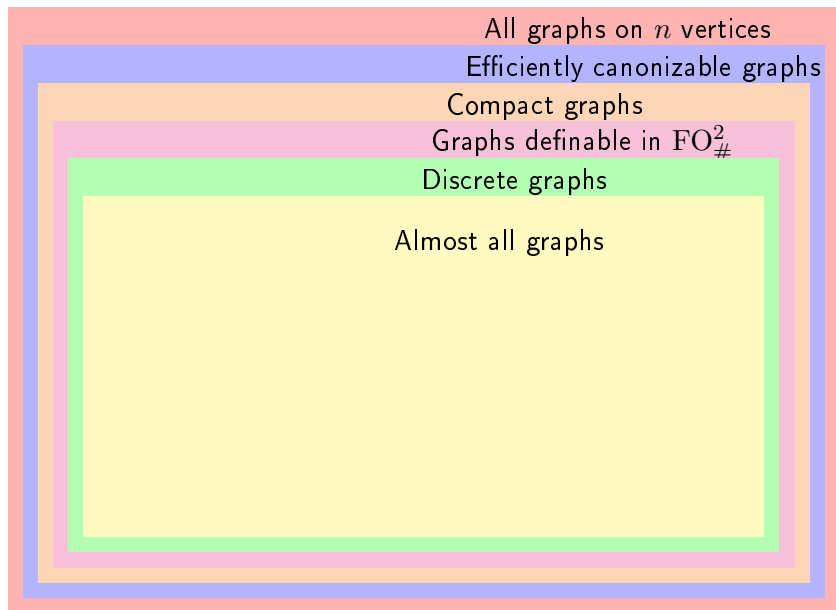
Discrete graphs

Almost all graphs

# Canonizing almost all graphs



# Canonizing almost all graphs



# Outline

- 1 The graph canonization problem
- 2 Fractional isomorphism and compactness**
- 3  $\text{FO}_{\#}^2$ -definable graphs are compact
- 4 Tinhofer's canonization algorithm
- 5 References



## Fractional isomorphism and equivalent concepts

Consider graphs  $G$  and  $H$  with adjacency matrices  $A$  and  $B$  resp.  
 $G \cong H$  iff there is a **permutation** matrix  $X$  such that

$$AX = XB. \tag{1}$$

## Fractional isomorphism and equivalent concepts

Consider graphs  $G$  and  $H$  with adjacency matrices  $A$  and  $B$  resp.  
 $G \cong H$  iff there is a **permutation** matrix  $X$  such that

$$AX = XB. \quad (1)$$

$X = (x_{ij})$  is **doubly stochastic** (d.s.) if  
 $x_{ij} \geq 0$ ,  $\sum_i x_{ij} = 1$  for all  $j$ , and  $\sum_j x_{ij} = 1$  for all  $i$ .

### Definition

$G$  and  $H$  are **fractionally** isomorphic if (1) is true for some d.s.  $X$ .

## Fractional isomorphism and equivalent concepts

Consider graphs  $G$  and  $H$  with adjacency matrices  $A$  and  $B$  resp.  
 $G \cong H$  iff there is a **permutation** matrix  $X$  such that

$$AX = XB. \quad (1)$$

$X = (x_{ij})$  is **doubly stochastic** (d.s.) if  
 $x_{ij} \geq 0$ ,  $\sum_i x_{ij} = 1$  for all  $j$ , and  $\sum_j x_{ij} = 1$  for all  $i$ .

### Definition

$G$  and  $H$  are **fractionally** isomorphic if (1) is true for some d.s.  $X$ .

### Theorem [Ramana, Scheinerman, Ullman 94; Immerman, Lander 90]

The following three conditions are equivalent:

- $G$  and  $H$  are fractionally isomorphic,
- $G$  and  $H$  are indistinguishable by Color Refinement,
- $G$  and  $H$  are indistinguishable in  $\text{FO}_{\#}^2$ .

## Compactness

Let  $S(G) = \{X \text{ - d.s.} : AX = XA\}$ ,  
the set of all fractional automorphisms of  $G$ .

## Compactness

Let  $S(G) = \{X \text{ - d.s. : } AX = XA\}$ ,  
the set of all fractional automorphisms of  $G$ .

- This is a polytope in  $\mathbb{R}^{n^2}$ .

## Compactness

Let  $S(G) = \{X \text{ - d.s. : } AX = XA\}$ ,  
the set of all fractional automorphisms of  $G$ .

- This is a polytope in  $\mathbb{R}^{n^2}$ .
- Automorphisms of  $G$  (permutation matrices) are extreme points of  $S(G)$ .

# Compactness

Let  $S(G) = \{X \text{ - d.s. : } AX = XA\}$ ,  
the set of all fractional automorphisms of  $G$ .

- This is a polytope in  $\mathbb{R}^{n^2}$ .
- Automorphisms of  $G$  (permutation matrices) are extreme points of  $S(G)$ .

**Definition (Tinhofer 1991)**

$G$  is **compact** if  $S(G)$  has no other extreme points.

# Compactness

Let  $S(G) = \{X \text{ - d.s. : } AX = XA\}$ ,  
the set of all fractional automorphisms of  $G$ .

- This is a polytope in  $\mathbb{R}^{n^2}$ .
- Automorphisms of  $G$  (permutation matrices) are extreme points of  $S(G)$ .

**Definition (Tinhofer 1991)**

$G$  is **compact** if  $S(G)$  has no other extreme points.

Equivalently,

- all extreme points of  $S(G)$  are integral, or
- every fractional automorphism of  $G$  is a convex combination of automorphisms of  $G$ .



# Compactness

Let  $S(G) = \{X \text{ - d.s. : } AX = XA\}$ ,  
the set of all fractional automorphisms of  $G$ .

- This is a polytope in  $\mathbb{R}^{n^2}$ .
- Automorphisms of  $G$  (permutation matrices) are extreme points of  $S(G)$ .

**Definition (Tinhofer 1991)**

$G$  is **compact** if  $S(G)$  has no other extreme points.

Equivalently,

- all extreme points of  $S(G)$  are integral, or
- every fractional automorphism of  $G$  is a convex combination of automorphisms of  $G$ .

If  $G$  is known to be compact, then  $G \cong H$  can be tested by computing an extreme point of  $S(G, H) = \{X \text{ - d.s. : } AX = XB\}$ :

- If  $G \cong H$ , then all extreme points of  $S(G, H)$  are integral;
- If  $G \not\cong H$ , then  $S(G, H)$  has no integral point.

## Basic facts: Complete graphs

Complete graphs are compact.

Every  $n \times n$  d.s. matrix is a fractional automorphism of  $K_n$ .  
Indeed, let  $J$  and  $I$  be the all-ones and the identity matrices. Then

$$X \text{ is d.s.} \implies JX = XJ \implies (J-I)X = X(J-I) \implies X \in S(K_n).$$

### Birkhoff's theorem

Every doubly stochastic matrix is a convex combination of permutation matrices.

## Basic facts: Closure properties

$G$  is compact iff its complement  $\overline{G}$  is compact.

**Proof:**

$Aut(G) = Aut(\overline{G})$  and  $S(G) = S(\overline{G})$ . Indeed,

$$\begin{aligned} X \in S(\overline{G}) &\iff (J - I - A)X = X(J - I - A) \\ &\iff AX = XA \iff X \in S(G), \end{aligned}$$

where  $A$  is the adjacency matrix of  $G$ .

## Basic facts: Closure properties

$G$  is compact iff its complement  $\overline{G}$  is compact.

**Proof:**

$Aut(G) = Aut(\overline{G})$  and  $S(G) = S(\overline{G})$ . Indeed,

$$\begin{aligned} X \in S(\overline{G}) &\iff (J - I - A)X = X(J - I - A) \\ &\iff AX = XA \iff X \in S(G), \end{aligned}$$

where  $A$  is the adjacency matrix of  $G$ .

**Lemma (Tinhofer 91)**

*If a connected graph  $G$  is compact, then the  $m$ -fold disjoint union  $mG$  is compact.*

**Example:** The matching graph  $mK_2$  and its complement  $\overline{mK_2}$  are compact.

## Further examples of compact graphs

Thus, the cycle graphs  $C_3 = K_3$  and  $C_4 = \overline{2K_2}$  are compact.

$C_5$  is compact too.

## Further examples of compact graphs

Thus, the cycle graphs  $C_3 = K_3$  and  $C_4 = \overline{2K_2}$  are compact.

$C_5$  is compact too.

Other examples:

- All cycles [Tinhofer 1986]
- All trees [Tinhofer 1986]
- Many regular graphs [Brualdi 88, Godsil 97, Wang and Li 05]

## A negative example

$C_3 \cup C_4$  is not compact.

## A negative example

$C_3 \cup C_4$  is not compact.

**Lemma (Tinhofer 1991)**

*A regular compact graph is vertex-transitive.*

**Proof:**

- Consider the  $n \times n$  all-ones matrix  $J$ , where  $n$  is the number of vertices in  $G$ .
- If  $G$  is regular, then  $\frac{1}{n}J \in S(G)$ .
- If  $G$  is compact, then

$$\frac{1}{n}J = \sum_s \alpha_s P_s,$$

a convex combination of permutation matrices from  $Aut(G)$ .

- Therefore, for all  $i$  and  $j$  there is  $s$  such that  $[P_s]_{ij} = 1$ .



# Outline

- 1 The graph canonization problem
- 2 Fractional isomorphism and compactness
- 3  $\text{FO}_{\#}^2$ -definable graphs are compact**
- 4 Tinhofer's canonization algorithm
- 5 References

# $\text{FO}_{\#}^2$ -Definable $\subset$ Compact

Theorem (Arvind, Köbler, Rattan, V. 2015)

*All graphs definable in  $\text{FO}_{\#}^2$  are compact.*

# Proof-scheme

- The proof is based on our characterization of  $\text{FO}_{\#}^2$ -definable graphs.

# Proof-scheme

- The proof is based on our characterization of  $\text{FO}_{\#}^2$ -definable graphs.

Let  $G$  be a definable graph. If  $X, Y \subseteq V(G)$  are vertex classes in the stable coloring of  $G$  (*cells*), then

- $G[X]$  is one of

$$K_s, \overline{K_s}, mK_2, \overline{mK_2}, \text{ and } C_5.$$

- $G[X, Y]$  is one of

$$K_{s,t}, \overline{K_{s+t}}, sK_{1,t} (s \geq 2), \text{ and its bipartite complement.}$$

## Proof-scheme (cont'd)

- homogeneous (isotropic) links  $G[X, Y]$  can be ignored;
- there is no cycle of non-homogeneous (anisotropic) links;
- each of the corresponding tree-like components of  $G$  can be considered separately;
- each such component contains at least one non-homogeneous cell  $X$  ( $G[X] \cong mK_2, \overline{mK_2}$ , or  $C_5$ );
- induction on the number of cells is possible because fractional automorphisms respect the stable partition [Ramana, Scheinerman, Ullman 1994];
- the base case is done by the compactness of  $K_s, \overline{K_s}, mK_2, \overline{mK_2}$ , and  $C_5$ .

# Outline

- 1 The graph canonization problem
- 2 Fractional isomorphism and compactness
- 3  $\text{FO}_{\#}^2$ -definable graphs are compact
- 4 Tinhofer's canonization algorithm**
- 5 References

# Tinhofer's canonization algorithm for compact graphs

Input: a graph  $G$

- 1 Run Color Refinement on  $G$  till color stabilization.
- 2 If all color classes are singletons, terminate.
- 3 If there is a color class with 2 or more vertices, individualize one of them by assigning a new color (the lexicographically first unused one).
- 4 Goto Step 1.

# Tinhofer's canonization algorithm for compact graphs

Input: a graph  $G$

- 1 Run Color Refinement on  $G$  till color stabilization.
- 2 If all color classes are singletons, terminate.
- 3 If there is a color class with 2 or more vertices, individualize one of them by assigning a new color (the lexicographically first unused one).
- 4 Goto Step 1.

## Theorem (Tinhofer 1991)

*If an input graph  $G$  is compact, then the above algorithm produces a canonical labeling of  $G$  for any choice of vertices to be individualized.*



# Outline

- 1 The graph canonization problem
- 2 Fractional isomorphism and compactness
- 3  $\text{FO}_{\#}^2$ -definable graphs are compact
- 4 Tinhofer's canonization algorithm
- 5 References**

# References

- G. Tinhofer. Graph isomorphism and theorems of Birkhoff type. *Computing* 36, 285–300 (1986).
- G. Tinhofer. A note on compact graphs. *Discrete Applied Mathematics* 30, 253–264 (1991).
- M.V. Ramana, E.R. Scheinerman, D. Ullman. Fractional isomorphism of graphs. *Discrete Mathematics* 132, 247–265 (1994).
- V. Arvind, J. Köbler, G. Rattan, O. Verbitsky. On Tinhofer's linear programming approach to Isomorphism Testing. MFCS'15.