

# A logical approach to Isomorphism Testing and Constraint Satisfaction

Oleg Verbitsky

Humboldt University of Berlin, Germany

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## Part 5: $\text{FO}_{\#}^2$ and Distributed Computing.

# Outline

- 1 A retrospective view
- 2 Color refinement in isomorphism testing (recap)
- 3 Color refinement in distributed computing
- 4 Norris's problem
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# Three pillars

## 1980

- L. Babai, P. Erdős, and S.M. Selkow. Random graph isomorphism. *SIAM J. Comput.*
- D. Angluin. Local and global properties in networks of processors. *STOC'80*.

## 1990

- N. Immerman and E. Lander. Describing graphs: A first-order approach to graph canonization. In *Complexity Theory Retrospective*, Springer.

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## Color refinement algorithm (formal definition)

$$\begin{aligned}C^1(v) &= \deg v \\C^{i+1}(v) &= \{ \{ C^i(u) : u \in N(v) \} \}\end{aligned}$$

### Exercise

If  $\phi$  is an isomorphism from  $G$  to  $H$ , then  $C^i(v) = C^i(\phi(v))$ .

Therefore,

$$G \cong H \implies \{ \{ C^i(u) \} \}_{u \in V(G)} = \{ \{ C^i(v) \} \}_{v \in V(H)}$$

**Color Refinement** accepts  $G$  and  $H$  as isomorphic  
iff  
the equality is true for all  $i$ .

- The output “non-isomorphic” is always true.
- The output “isomorphic” can be wrong.

## Color refinement and 2-variable counting logic

### Immerman and Lander:

The following three conditions are equivalent:

- Color refinement distinguishes  $G$  and  $H$ ;
- $G$  and  $H$  are distinguishable in two-variable first-order logic with counting quantifiers.
- Spoiler has a winning strategy in the *2-pebble counting game* on  $G$  and  $H$ .

In particular, if color refinement distinguishes  $G$  and  $H$  in less than  $s$  rounds, then  $G$  and  $H$  are distinguishable with quantifier depth  $s$ .



### Question

Suppose that color refinement distinguishes  $n$ -vertex  $G$  and  $H$ . How many refinement rounds does it need?

- Just 2 for almost all  $G$  (Babai, Erdős, Selkow).
- What about the worst case?

### A related question

How large can  $D_{\#}^2(G)$  be for  $G$  definable in  $\text{FO}_{\#}^2$ ?

We already know that  $n$  rounds always suffice.

At least  $n/2 - 2$  rounds are sometimes needed:  
e.g., on  $P_n$  and  $P_{n-3} + C_3$ .

- Thus, the optimum is between  $n/2$  and  $n$ . Where?

### Theorem (Krebs, V. 2015)

*There are  $n$ -vertex  $G$  and  $H$  distinguishable in 2-variable counting logic but only with quantifier depth  $(1 - o(1))n$ .*

### Corollary

*There are  $n$ -vertex  $G$  and  $H$  such that color refinement needs  $(1 - o(1))n$  refinement rounds to distinguish them.*

Moreover,

color refinement stabilizes on the disjoint union  $G + H$  in  $(2 - o(1))n$  rounds

(despite the stabilization on each of  $G$  and  $H$  is reached in less than  $n$  rounds).

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## Basic concepts

- A network = a graph  $G$
- A processor (a finite automaton) = a node in  $G$
- The initial states are identical for nodes of the same degree
- In a unit of time — a message exchange along each edge

Examples of problems.

**Leader election:** Exactly one processor has to come in a distinguished state “elected”.

**Network topology recognition:** One of the processors (or all of them) has to come in a special state iff  $G$  has a specified property (for example,  $G$  is bipartite, planar, ...).

## Covering maps — basic definitions

Let  $G$  and  $H$  be connected.

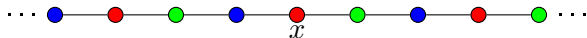
$\alpha$  is a **covering map** from  $H$  to  $G$  if  $\alpha$  is

- a homomorphism from  $H$  **onto**  $G$ ,
- a bijection from  $N(v)$  onto  $N(\alpha(v))$  for each  $v \in V(H)$ .

We say that  $H$  is a **covering graph** of  $G$  or that  $H$  **covers**  $G$ .

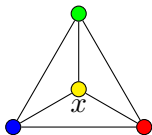


$U_x(G)$  is the “unfolding” of  $G$  from  $x$  into an (infinite) tree.

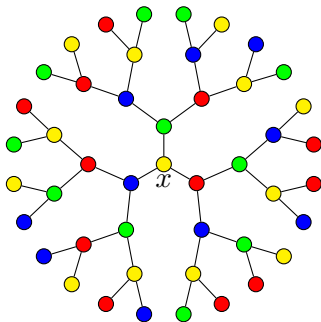


$U_x(G)$  covers any covering graph of  $G$  and is called a **universal cover** of  $G$ .

## Another example of a universal cover



$G$



$U_x^4(G)$



## Applications in distributed computing: an example

### Lemma

*Let  $\alpha : H \rightarrow G$  be a covering map for the networks  $G$  and  $H$ .  
Then the processors  $v$  and  $\alpha(v)$  will be always in the same state.*

### Lemma

*Planar graphs are not closed under covering maps.*

### Corollary

*Planarity is not recognizable by local computations.*

## Angluin:

The following conditions are equivalent.

- $G$  and  $H$  have a common covering graph.
- $U(G) \cong U(H)$
- $\{C^i(u) : u \in V(G)\} = \{C^i(v) : v \in V(H)\}$ , for all  $i$ .

Angluin + Immerman & Lander + Ramana et al.

If  $G$  and  $H$  have equally many vertices, then the following conditions are equivalent.

- $G$  and  $H$  are indistinguishable in  $\text{FO}_{\#}^2$ .
- $G$  and  $H$  are fractionally isomorphic.
- $G$  and  $H$  are indistinguishable by Color Refinement.
- $G$  and  $H$  have isomorphic universal covers.

## Truncated universal covers

$U_x(G) \cong U_y(H) \implies$  the processors  $x$  and  $y$  are all the time in equal states (i.e., indistinguishable by local computations).

Let  $U_x^t(G)$  denote the rooted tree  $U_x(G)$  truncated at depth  $t$ .

$U_x^t(G) \cong U_y^t(H) \implies x$  and  $y$  are in equal states up to time  $t$ .

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### Lemma

$U_x^t(G) \cong U_y^t(H)$  iff  $C^t(x) = C^t(y)$ .

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## Exercise

Prove it by induction on  $t$ .

## Hint

Prove first the following **Tree Reconstruction Lemma**:

Let  $T$  and  $S$  be trees,  $x \in V(T)$ ,  $y \in V(S)$ ,  $N(x) = \{x_1, \dots, x_k\}$ , and  $N(y) = \{y_1, \dots, y_k\}$ . Then

$$T_x^r \cong S_y^r \text{ and } T_{x_i}^r \cong S_{y_i}^r \text{ for all } i \leq k \implies T_x^{r+1} \cong S_y^{r+1}.$$

## Exercise

Apply it for another proof that every tree is definable in  $\text{FO}_{\#}^2$ .

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## What truncation depth is enough?

### Lemma

$$U_x^t(G) \cong U_y^t(H) \text{ iff } C^t(x) = C^t(y).$$

By the color stabilization argument:

if  $G$  and  $H$  are two graphs with at most  $n$  vertices each, then

$$U_x^{2n-1}(G) \cong U_y^{2n-1}(H) \implies U_x(G) \cong U_y(H).$$

### Norris's question (1995)

Can  $2n - 1$  be improved to  $n$  in this implication?

(Yes if  $G = H$ )



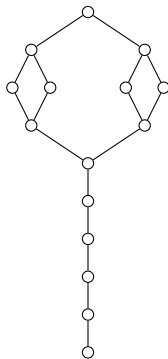
### Theorem (Krebs, V. 2015)

There are  $n$ -vertex graphs  $G$  and  $H$  with vertices  $x \in V(G)$  and  $y \in V(H)$  such that

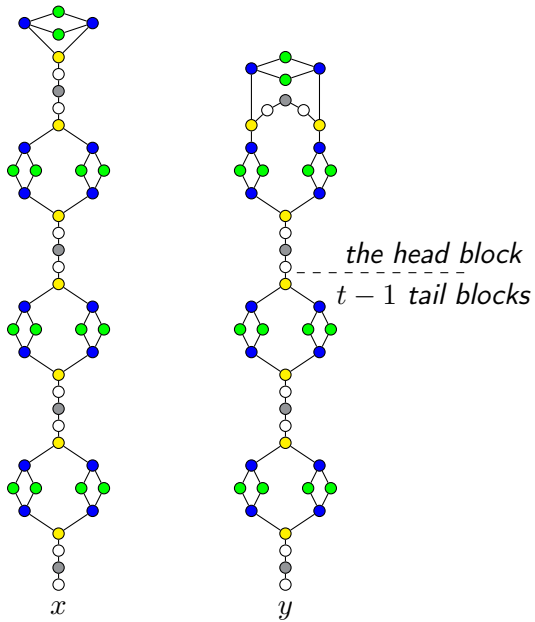
- 1  $U_x^{2n-16\sqrt{n}}(G) \cong U_y^{2n-16\sqrt{n}}(H)$  while  $U_x(G) \not\cong U_y(H)$ ;
- 2  $D_{\#}^2(G, H) > n - 8\sqrt{n}$ .

## Construction of $G = G_{s,t}$ and $H = H_{s,t}$

- Each graph is a chain of  $t$  blocks:
  - one head block,
  - $t - 1$  tail blocks
- All tail blocks are identical and have  $s + 10$  vertices.



The tail block for  $s = 5$



The graphs  $G_{s,t}$  and  $H_{s,t}$  for  $s = 3$ ,  $t = 3$ .

- We distinguish  $\lceil s/2 \rceil + 3$  types of vertices, presented by auxiliary colors.
- This auxiliary coloring is almost stable: All vertex neighborhoods (excepting for  $x$  and  $y$ ) are



- $C^i(x) = C^i(y)$  iff Duplicator has a winning strategy in the  $i$ -round bisimulation version of the Immerman-Lander game on  $(G, x, H, y)$ . This is so for  $i = 2t(s + 5) - 2$ , while Spoiler has a winning strategy for larger  $i$ .
- The graphs have  $n = (t + 1)(s + 10) - 5$  vertices. Take  $s = 2t + 1$ .

## Conclusion

- The universal cover  $U_x(G)$  contains all knowledge about the network  $G$  available to a particular party  $x$ .
- A large bunch of distributed algorithms is based on computing the isomorphism type of  $U_x(G)$  by the party  $x$ .
- The bound of  $2n$  is a standard upper bound for the communication round complexity of such algorithms.
- Our solution of Norris's problem implies that this bound is tight up to a term of  $o(n)$ .
- This seems to be the first application of FMT in the field.

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### Open problem

Can the lower bound of  $2n - O(\sqrt{n})$  be improved to  $2n - O(1)$ ?

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- Andreas Krebs and Oleg Verbitsky. Universal covers, color refinement, and two-variable counting logic: Lower bounds for the depth. LICS 2015.